

Rule	Tracking	Draft	Proposal Type	Effective Date	Proponent	Status
GR 5.0	123-18	1	Standard	12/1/2019	Legislative	Approved (1/12/2019)
Description: GR5						
GR 1123.2	124-18	1	Standard	12/1/2019	Andalusian/Lusitano	Approved (1/12/2019)
Description: fantasy costume						
GR 1123.6	125-18	1	Standard	12/1/2019	Andalusian/Lusitano	Approved (1/12/2019)
Description: andalusian/lusitano - costume						
GR 1021.0	128-18	1	Standard	12/1/2019	Licensed Officials	Approved (1/12/2019)
Description: course designers						
GR 1214.1	130-18	1	Standard	12/1/2019	Jumper	Approved (1/12/2019)
Description: results - jumper faults						
GR 703.0	214-18	4	Standard	4/1/2019	Hearing Committee	Approved (1/12/2019)
Description: permanent ban						
GR 1034.1	239-18	3	Standard	12/1/2019	Licensed Officials	Approved (1/12/2019)
Description: steward and technical delegate responsibilities						
GR 1144.0	318-18	1	Standard	12/1/2019	WDAA	Approved (1/12/2019)
Description: WD points						
GR 309.0	321-18	3	Standard	2/1/2019	Competitions Task Force	Approved (1/12/2019)
Description: Special Competitions						
GR 301.0	322-18	3	Standard	2/1/2019	Competitions Task Force	Approved (1/12/2019)
Description: Chapter 3						
GR 204.0	323-18	2	Standard	4/1/2019	Hearing Committee	Approved (1/12/2019)
Description: review of decisions						
GR 1214.0	326-18	2	Standard	12/1/2019	USEF Officers	Approved (1/12/2019)
Description: results						
GR 202.1	329-18	1	Standard	12/1/2019	Driving	Approved (1/12/2019)
Description: Advanced Level Combined Driving Competitions						
GR 1128.3	339-18	1	Standard	12/1/2019	Council - Natl Breed & Discipline	Approved (1/12/2019)
Description: English pleasure points						
GR 307.2	340-18	1	Standard	12/1/2019	Council - Natl Breed & Discipline	Approved (1/12/2019)
Description: determining ratings - English pleasure						

Rule	Tracking	Draft	Proposal Type	Effective Date	Proponent	Status
GR 136.2	344-18	1	Standard	4/1/2019	American Saddlebred	Approved (1/12/2019)
Description: maiden, novice, and limit classes - American Saddlebred						
GR 821.2	347-18	1	Standard	12/1/2019	Dressage	Approved (1/12/2019)
Description: opportunity classes						
GR 609.0	349-18	3	Standard	4/1/2019	Hearing Committee	Approved (1/12/2019)
Description: provisional suspensions						
GR 1011.0	365-18	1	Extraordinary	2/1/2019	Licensed Officials	Approved (1/12/2019)
Description: Apprentice judge card application						
GR 1002.0	366-18	1	Rule Clarification	2/1/2019	Licensed Officials	Approved (1/12/2019)
Description: Licensed Officials						
GR 1039.0	367-18	1	Rule Clarification	2/1/2019	Licensed Officials	Approved (1/12/2019)
Description: conflict of interest						
GR 1004.0	368-18	2	Extraordinary	2/1/2019	Licensed Officials	Approved (1/12/2019)
Description: Guest Card						
GR 1142.0	371-18	1	Rule Clarification	4/1/2019	Welsh	Approved (1/12/2019)
Description: welsh English pleasure						

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	8/20/2018	Approved 1/12/2019

Proposed Change

see attachment

Proponent Details	Contact Information
Legislative	Amelia Sandot asandot@usef.org

Rule Change Intent

In an effort to clean up the General Rules, the following Chapter 5 re-write has been proposed. There are no substantive changes to the rules contained in this chapter. However, duplication language has been removed, consistent terminology has been added, and several sentences have been re-written for clarity purposes.

Linked Rules	Comments
	Web: GR5

Committee Actions

AHA	
Draft 1: No Action	Draft 1: No Comments
AHHS	
Draft 1: No Action	Draft 1: No Comments
American Saddlebred	
Draft 1: Recommends Approval 11/14/2018	Draft 1: No Comments
AMHA	
Draft 1: No Action	Draft 1: No Comments
Arabian	
Draft 1: Recommends Approval 10/30/2018	Draft 1: No Comments
ASHA	
Draft 1: No Action	Draft 1: No Comments
Carriage Pleasure Driving	
Draft 1: Recommends Approval 11/12/2018	Draft 1: No Comments
Council - Admin & Finance	
Draft 1: Recommends Disapproval 12/18/2018	Draft 1: Council recommends that reference be made uniformly throughout the chapter to ponies/horses rather than the current varied references to ponies, horses, and ponies/horses.
Council - Natl Breed & Discipline	
Draft 1: Recommends Approval 12/18/2018	Draft 1: No Comments
Hackney	
Draft 1: Recommends Approval 10/31/2018	Draft 1: Recommends Approval
Licensed Officials	
Draft 1: Recommends Disapproval 12/04/2018	Draft 1: The LOC recommends disapproval because the committee would like the references of ponies/horses to be consistent throughout the entire chapter.
Morgan	
Draft 1: Recommends Approval 10/25/2018	Draft 1: No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	8/30/2018	Approved 1/12/2019

Proposed Change

**GR1123 Andalusian/Lusitano Division** [CHAPTER SUBCHAPTER 11-F AWARD SECTIONS]

GR1123 Andalusian/Lusitano Division

1. Eligibility. See GR1110.
2. Point Tabulation. See Rules GR1113-GR1119.
  - a. Full points will be awarded to Andalusian/Lusitano classes conducted at Federation Licensed competitions.
  - b. Half points will be given in the following classes: Maiden horse, Novice horse, Limit horse, and Green horse.
  - c. At Federation Licensed Regional level competitions, class entries will receive double points.
  - d. At Federation Licensed National level competitions, class entries will receive triple points.
  - e. Classes that are restricted to Maiden, Novice, Limit or Green riders/drivers are not counted.
  - f. Combined Pure Andalusian and Half-Andalusian classes do not receive points, with the following exceptions: Junior Equitation, Showmanship, Western Trail, Western Riding, Doma Vaquera, Reining, Dressage, and ~~working Equitation~~ **Fantasy Costume**.

Proponent Details

Andalusian/Lusitano

Contact Information

Erin Desnoyers

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Rule Change Intent

Under GR1123.2.f it does not list Fantasy Costume as an exception to combined Pure Andalusian and Half-Andalusian classes to receive points. In the Andalusian/Lusitano section of the rulebook under AL101.2, Pure and Half-Andalusians may compete together in Fantasy Costume. The committee would like to add Fantasy Costume to the Andalusian/Lusitano Awards Sections (GR1123) to be consistent with the breed rules and allow points. The committee would also like to remove Working Equitation from GR1123 as it was missed when removing the Working Equitation section from the Andalusian/Lusitano rules in 2017.

Potential Impact

None

Linked Rules

Comments

**Web:** fantasy costume

Committee Actions

Ad Hoc - Awards

**Draft 1:** No Action

**Draft 1:** No Comments

Council - Admin & Finance

**Draft 1:** Recommends Approval 12/18/2018

**Draft 1:** No Comments

Council - Natl Breed & Discipline

**Draft 1:** Recommends Approval 10/31/2018

**Draft 1:** No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	8/30/2018	Approved 1/12/2019

Proposed Change

**GR1123 Andalusian/Lusitano Division** [CHAPTER SUBCHAPTER 11-F AWARD SECTIONS]

GR1123 Andalusian/Lusitano Division

6. Award Categories. National and Regional awards will be awarded in the following categories:

- a. Andalusian/Lusitano Halter: Classes to count include stallions, mares, geldings, and Dressage Sport Horse In-Hand.
- b. Half Andalusian/Lusitano Halter: Classes to count include stallions, mares, geldings, and Dressage Sport Horse In-Hand.
- c. Andalusian/Lusitano English Pleasure (Open, Amateur, Junior Horse): Classes to count include Formal Saddle, Saddle Seat, Country, Hunt Seat, Pro-Am-for Open award, and Vintage Rider-for Amateur award)
- d. Half Andalusian/Lusitano English Pleasure (Open, Amateur, Junior Horse): Classes to count include Formal Saddle, Saddle Seat, Country, Hunt Seat, Pro-Am-for Open award, and Vintage Rider-for Amateur award)
- e. Andalusian/Lusitano Western Pleasure (Open, Amateur, Junior Horse): Classes to count include Western Pleasure, Pro-Am-for Open award, and Vintage Rider-for Amateur award)
- f. Half Andalusian/Lusitano Western Pleasure (Open, Amateur, Junior Horse): Classes to count include Western Pleasure, Pro-Am-for Open award, and Vintage Rider for Amateur award)
- g. Andalusian/Lusitano or Half/Andalusian/Lusitano Working Western (One Award Category): Classes to include Trail, Reining, and Western Riding.
- h. Andalusian/Lusitano Driving: Classes to count include Country Pleasure Driving, Show Pleasure Driving, Pleasure Driving, Formal Driving, and Traditional Type Carriage Driving.
- i. Half Andalusian/Lusitano Driving: Classes to count include Country Pleasure Driving, Show Pleasure Driving, Pleasure Driving, Formal Driving, and Traditional Type Carriage Driving.
- j. Andalusian/Lusitano Specialty (One Award Category): Classes to count include English Show Hack, Versatility English to Western, Versatility Driving to English, Native Tack & Attire, Heritage Tack & Attire, Fantasy Costume, Doma Vaquera, Best Movement, Dressage, Dressage Suitability, and Dressage Hack.
- k. Half Andalusian/Lusitano Specialty (One Award Category): Classes to count include English Show Hack, Versatility English to Western, Versatility Driving to English, ~~Native Tack & Attire, Heritage Tack & Attire~~ **Costume**, Fantasy Costume, Doma Vaquera, Best Movement, Dressage, Dressage Suitability, and Dressage Hack.

Proponent Details

Andalusian/Lusitano

Contact Information

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Rule Change Intent

Under the Andalusian/Lusitano rules, Native Tack & Attire and Heritage Tack & Attire sections are not offered for Half-Andalusian; however, it is listed under the Half Andalusian/Lusitano Specialty award category to receive points. This was a mistake when the specialty awards categories were initially entered into the rulebook. Costume should replace Native Tack & Heritage Tack & Attire considering Half-Andalusian Costume is the correct section for the Half-Andalusian Specialty award and it references the appointments for either Native or Heritage attire.

Potential Impact

None

Linked Rules

Comments

**Web:** andalusian/lusitano - costume

Committee Actions

Ad Hoc - Awards

**Draft 1:** No Action

**Draft 1:** No Comments

Council - Admin & Finance

**Draft 1:** Recommends Approval 12/18/2018

**Draft 1:** No Comments

Council - Natl Breed & Discipline

**Draft 1:** Recommends Approval 10/31/2018

**Draft 1:** No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	8/30/2018	Approved 1/12/2019

Proposed Change

**GR1021 General** [CHAPTER SUBCHAPTER 10-F CLASSIFICATIONS - COURSE DESIGNERS]

GR1021 General

Course designers shall be licensed as Registered, ~~R~~ecorded or Special in the Hunter/Hunter/Jumping Seat Equitation and Jumper Divisions; **Senior**, Registered, ~~or R~~ecorded **or Certified** in the Eventing **Division; Registered or Recorded and in the** Carriage Pleasure Driving Divisions; Registered, ~~Apprentice~~ or Guest in the Combined Driving Division.

GR1026 Eventing Course Designers

1. **A Certified Eventing Cross Country Course Designer is required for Beginner Novice, Novice, and Training Horse Trials.**
2. **A minimum of a Recorded ('r') license is required to officiate at Preliminary Horse Trials, Modified Horse Trials, and National Three-Day Events.**
3. **A minimum of a Registered ('R') license is required to officiate at Intermediate Horse Trials.**
4. **A minimum of a Senior ('S') license is required to officiate at Advanced Horse Trials.**
5. **An individual recognized by the FEI or by another National Federation may serve as the Course Designer for a specific competition with the approval of the Federation Eventing Sport Committee.**

See Chapter EV - Eventing EV175.1 for officiating eligibility for 'S', 'R' and 'r' Eventing Course Designers.

Proponent Details	Contact Information
Licensed Officials	Alina Brazzil abrazzil@usef.org

Rule Change Intent

After evaluation of the license definitions within Chapter 10, staff realized the Eventing Certified, 'r', 'R' and 'S' course designer license types and restrictions are not listed. Addition of these definitions would provide clarity and uniformity amongst the other course designer licenses within Subchapter 10-F Classifications – Course Designers, and match the definitions listed within EV175.

Linked Rules	Comments
	<b>Web:</b> course designers

Committee Actions

Council - Intl Discipline	
<b>Draft 1:</b> Recommends Approval 12/20/2018	<b>Draft 1:</b> No Comments
Eventing	
<b>Draft 1:</b> Recommends Approval 11/13/2018	<b>Draft 1:</b> No Comments
USEA	
<b>Draft 1:</b> No Action	<b>Draft 1:</b> No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	8/30/2018	Approved 1/12/2019

Proposed Change

**GR1214 Results** [CHAPTER 12-D DUTIES CONCERNING COMPETITION RECORDS]

1. All competition results and other data as specified by USEF in the license agreement may be electronically transmitted to USEF within 10 days following a Licensed competition including all corrections, changes and additions to the prize list. All results must meet the criteria and format of the Federation result template as published by USEF and must be submitted as outlined in the license agreement. A fee may be assessed for any required data not received electronically. Full results are defined as the names and Federation numbers of all horses, riders and owners in all classes. In the event of an entry under multiple ownership, only one owner need be a Member or pay a Show Pass fee. The competition is responsible for listing either the active member or the person that paid the Show Pass fee in the results. (Exception GR901.9). For all Jumper Classes offering \$500 or more in prize money (including add back) the competition must submit the following in addition to the above: the number of horses competing in the class, the Level under which the class was conducted, the identification/recording number for each horse in the class, and the placings with the money paid out to each placed entry. For Young Jumper classes the first round fault scores for each entry must also be included. For classes with \$25,000 or more in prize money a copy of the starting order (showing the rider) and judges card(s) are required. ~~At Jumper Rating 4 or higher competitions,~~ Results must include faults of each round in jumper classes held at **1.30m 1.40m or above and in all Junior Jumper classes**. Results must include faults from each round of Pony Jumper classes. Results for Dressage Competitions and Regular or Local competitions holding open Dressage or Dressage Sport Horse Breeding classes must include the Federation and USDF membership and identification/recording numbers for all owners, riders, handlers and horses for whom this information is required for the competition. This material is needed in order to include the winnings of exhibitors in the Federation's permanent records.

Proponent Details	Contact Information
Jumper	Jennifer Haydon jhaydon@usef.org

Rule Change Intent

Faults from horse's a round are very important in jumper classes and this change will increase the amount of results with faults submitted to USEF.

Linked Rules	Comments
	<b>Web:</b> results - jumper faults

Committee Actions	
Competition Management	
<b>Draft 1:</b> Recommends Approval 11/08/2018	<b>Draft 1: No Comments</b>
Council - Admin & Finance	
<b>Draft 1:</b> Recommends Approval 12/18/2018	<b>Draft 1: No Comments</b>
Council - Intl Discipline	
<b>Draft 1:</b> Recommends Approval 12/20/2018	<b>Draft 1: No Comments</b>
USHJA	
<b>Draft 1:</b> Recommends Approval 12/13/2018	<b>Draft 1: No Comments</b>



Rule Change Type	Effective Date	Draft Received	Board Action
Standard	4/1/2019	1/14/2019	Approved 1/12/2019

## Proposed Change

CHAPTER 7 VIOLATIONS AND PENALTIES  
SUBCHAPTER 7-A INDIVIDUALS  
GR703 Penalties

1. If found guilty, the accused will be subject to such penalty as the Hearing Committee, or other individuals with authority to assess penalties may determine, including but not limited to the following. The penalties set forth below will be published on the Federation's web site.

a. CENSURE. A vote of Censure will be listed under the defendant's name in the Secretary's Record of Penalties. If found guilty of a further violation the defendant will be subject to a heavier penalty than for a first offense.

b. SUSPENSION of such person for any period from showing or having others show, exhibit or train for him or her.

1. A suspended person is forbidden for the time specified in the decision from the privilege of taking any part whatsoever in any Competition licensed or endorsed by the Federation and is excluded from all competition grounds during Competitions licensed or endorsed by the Federation, as an exhibitor, participant or spectator.

2. In addition, a suspended person is forbidden from participating in all Federation affairs and activities, to hold or exercise office in the Federation or in any Competition licensed or endorsed by the Federation, to attend, observe or participate in any event, forum, meeting, program, clinic, task force, or committee of the Federation, sponsored by or conducted by the Federation, or held in connection with the Federation and any of its activities..

a. Notwithstanding the above, a Director may be removed from the Board of Directors only in accordance with the applicable provisions of the Bylaws.

b. If the Hearing Committee deems it appropriate, it may send its findings concerning a Director to the Board for its consideration.

3. Where practical and appropriate in the opinion of the Hearing Committee, suspension may include the comparable dates during which the violation occurred.

c. SUSPENSION for any period of the horse or horses, owned by him or her, or shown in any name or for his, her, or their credit or reputation, whether such interest was held at the time of the alleged violation or acquired thereafter. The Board of Directors or the Hearing Committee may at a later date remove the suspension of said horse or horses if it is demonstrated to their satisfaction that a sale or transfer thereof was made by such person, partnership, or corporation in such as to be a bona fide transaction and not with the intention of relieving the suspended owner of penalty. See GR139.

d. SUSPENSION for any period of any volunteer or any employed person who rides or exhibits for the benefit, credit, reputation or satisfaction of another suspended person.

e. EXPULSION from all Licensed Competitions.

f. EXPULSION or SUSPENSION from membership in the Federation.

**g. PERMANENT INELIGIBILITY from Federation membership and all Federation related activities, including a prohibition from taking any part whatsoever in any Competition licensed or endorsed by the Federation and exclusion from all competition grounds during Competitions licensed or endorsed by the Federation in any capacity, including as an exhibitor, participant or spectator. Additionally, a permanently ineligible person is prohibited from attending, observing, or participating in any event, forum, meeting, program, clinic, task force, or committee of the Federation, sponsored by or conducted by the Federation, or held in connection with the Federation and any of its activities.**

Re-number Accordingly.

GR704 Regulations as to Suspended Persons

**This rule applies to all persons deemed ineligible to participate.**

1. The purpose of this Rule shall be to prevent the avoidance by suspended exhibitors, trainers, coaches and other persons of the terms and conditions of their suspensions, or the penalties intended by the Hearing Committee as appurtenant to such suspensions. This Rule shall apply to the spouse of a suspended person as well as to any other persons or entities, including, without limitation, companions, family members, employers, employees, agents, partnerships, partners, corporations or other entities, whose relationship, whether financial or otherwise, with a suspended person would give the appearance that such other persons are riding, exhibiting, coaching or training for the benefit, credit, reputation or satisfaction of the suspended person.

2. No suspended person's spouse or companion shall assume any of the suspended person's responsibilities whatsoever at Federation competitions during the term of said suspension. Companion shall be defined as any person who co-habits with, or otherwise shares living accommodations with, a suspended person.

3. No suspended person's spouse or companion may fill out any entry blanks for any of the suspended person's customers for Federation competitions during the term of the suspended person's suspension, **except when the suspended person's spouse or companion is the parent or legal guardian of a minor entered to compete at a Competition licensed or endorsed by the Federation**, or pay or advance entry fees on behalf of customers for Federation competitions during said period.

4. Any person who assumes the responsibility for the care, custody or control of an unsuspended horse completely or in part owned, leased, trained by or coached by a suspended person, must not:

a. Be paid a salary directly or indirectly by or on behalf of the suspended person; or

b. Receive a bonus or any other form of compensation in cash, property or other remuneration or consideration such as to make up for any such lost salary; or

c. Make any payments of any kind, or give any remuneration or other compensation or consideration, to the suspended person, his/her spouse or companion, any corporation, partnership or other entity owned or controlled by said suspended person or to any other person for transfer to any of said individuals or entities for the right to ride, exhibit, coach or train for the suspended person or any of the suspended person's customers during Federation Licensed Competitions; or

d. Use the farm or individual name of the suspended person.

5. An individual who takes over the horses of a suspended trainer or coach must:

a. Bill customers directly on his/her own bill forms for any services rendered at or in connection with any Federation Licensed Competitions;

b. Maintain a personal checking account totally separate from and independent of that of the suspended person for purposes of paying all expenses of and depositing all income from customers;

c. Pay all his/her employees working at Federation competitions, none of whom may be employees, directly or indirectly, of the suspended person;

d. Keep checks, books, employee records and make withholding of taxes and other regular deductions from his/her employees' paychecks;

e. Pay all feed bills, motel, van bills, travel expenses, etc. from his/her separate and independent checking account and preserve, for six months after the date that said suspension is terminated, invoices for said bills;

f. If such individual makes use of any equipment of a suspended trainer, the use of said equipment must be enumerated in detail in a written lease, the form and substance of which must be satisfactory to counsel for the Federation and shall be at the fair rental value for said equipment and said price must be included in said agreement;

g. File such federal and state tax returns as will reflect as his or her income the income from said training or coaching responsibilities at Federation Licensed Competitions;

h. Not borrow funds from a suspended trainer or coach, his/her spouse or companion, their families, corporations, partnerships or any other entities owned or controlled by said suspended trainer or to any other person for the purpose of going into business for himself or herself at Federation Licensed Competitions during the period of said suspension, nor will he/she allow any of the above-named parties or entities to sign or guarantee any notes or any type of loans to enable him or her to go into business as described above.

6. Suspended trainers and coaches, and individuals taking over the horses or customers of a suspended trainer or coach may be requested to make their books, canceled checks, invoices, tax returns and other evidence available to Federation representatives to verify and affirm the details of any relationship between them and suspended trainer or coach.

7. This Rule is intended to provide guidance for suspended persons and anyone contemplating taking over the responsibility for the riding, exhibiting, coaching, or training, of an unsuspended horse from a suspended person. It is not intended to anticipate every potential circumstance in which the intent of a suspension may be frustrated, and the Hearing Committee shall have the power to determine whether the facts and circumstances peculiar to any particular case compel a finding that there was or is a violation of the rules prohibiting exhibiting any horse while in the care, training or custody of a suspended trainer, or riding, exhibiting, coaching or training for the benefit, credit, reputation or satisfaction of a suspended person (see GR702.1h and .i).

#### Proponent Details

Hearing Committee

#### Contact Information

Sonja Keating

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#### Rule Change Intent

The proposal is intended to clarify the prohibition contained in GR704.3, which prohibits the spouse or companion of suspended persons from signing entry blanks of customers of the suspended person. This rule is not intended to cover instances where the spouse or companion of the suspended person is the parent or legal guardian of a minor child.

A new section has been added to GR704 to cover individuals permanently banned the U.S. Center for Safe Sport. This individuals would be prohibited from coaching or training participants at least 30 days prior to and after a Licensed Competition. In the event a permanent ban is imposed in the 30 days leading up to a competition, the participants may seek a waiver from the Federation. This rule is intended to protect victims who have come forward from the perception that the banned individuals is participating in the sport in every aspect other than simply attending competitions.

Web: permanent ban

## Committee Actions

## ADS

Draft 1: No Action  
 Draft 2: No Action  
 Draft 3: No Action  
 Draft 4: No Action

Draft 1: No Comments  
 Draft 2: No Comments  
 Draft 3: No Comments  
 Draft 4: No Comments

## AHA

Draft 1: No Action  
 Draft 2: No Action  
 Draft 3: No Action  
 Draft 4: No Action

Draft 1: No Comments  
 Draft 2: No Comments  
 Draft 3: No Comments  
 Draft 4: No Comments

## AHHS

Draft 1: No Action  
 Draft 2: No Action  
 Draft 3: No Action  
 Draft 4: No Action

Draft 1: No Comments  
 Draft 2: No Comments  
 Draft 3: No Comments  
 Draft 4: No Comments

## American Saddlebred

Draft 1: No Action  
 Draft 2: No Action  
 Draft 3: Recommends Disapproval 11/14/2018  
 Draft 4: No Action

Draft 1: No Comments  
 Draft 2: No Comments  
 Draft 3: Committee is concerned that it would be difficult for accused to conduct business with the proposed rule change. Committee believes this would be hard to enforce and difficult to monitor the portion of the rule restricting customers from remaining with trainer 30 days prior to and 30 after a horse show.  
 Draft 4: No Comments

## AMHA

Draft 1: No Action  
 Draft 2: No Action  
 Draft 3: No Action  
 Draft 4: No Action

Draft 1: No Comments  
 Draft 2: No Comments  
 Draft 3: No Comments  
 Draft 4: No Comments

## Andalusian/Lusitano

Draft 1: No Action  
 Draft 2: No Action  
 Draft 3: Recommends Approval 10/29/2018  
 Draft 4: No Action

Draft 1: No Comments  
 Draft 2: No Comments  
 Draft 3: No Comments  
 Draft 4: No Comments

## Arabian

Draft 1: No Action  
 Draft 2: No Action  
 Draft 3: Recommends Disapproval 10/30/2018  
 Draft 4: No Action

Draft 1: No Comments  
 Draft 2: No Comments  
 Draft 3: Concern was raised about the legal ramifications of a contract between a rider and a barn/trainer if a trainer became ineligible. Also there was a question as to who would have the responsibility of monitoring the 30 day prior/post policy.  
 Draft 4: No Comments

## ARHPA

Draft 1: No Action  
 Draft 2: No Action  
 Draft 3: No Action  
 Draft 4: No Action

Draft 1: No Comments  
 Draft 2: No Comments  
 Draft 3: No Comments  
 Draft 4: No Comments

## ASHA

Draft 1: No Action  
 Draft 2: No Action  
 Draft 3: No Action  
 Draft 4: No Action

Draft 1: No Comments  
 Draft 2: No Comments  
 Draft 3: No Comments  
 Draft 4: No Comments

## Breeds/Disciplines

Draft 1: No Action  
 Draft 2: No Action  
 Draft 3: No Action  
 Draft 4: No Action

Draft 1: No Comments  
 Draft 2: No Comments  
 Draft 3: No Comments  
 Draft 4: No Comments

## Carriage Pleasure Driving

Draft 1: No Action  
 Draft 2: No Action  
 Draft 3: Recommends Disapproval 11/12/2018  
 Draft 4: No Action

Draft 1: No Comments  
 Draft 2: No Comments  
 Draft 3: Rule needs clarification, concern that would be difficult to monitor and enforce.  
 Draft 4: No Comments

Connemara

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Approval 11/08/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Council - Intl Discipline

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Disapproval 12/20/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: Too overreaching and difficult to enforce.  
Draft 4: No Comments

Council - Natl Breed & Discipline

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Disapproval 12/18/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: Concern was raised on the National side that this rule change is overreaching and the 30 days prior and after is too punitive and affects those who are not suspended in a negative way.  
Draft 4: No Comments

Dressage

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Approval 11/29/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Driving

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Endurance

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

English Pleasure

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Eventing

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Approval 11/13/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Friesian

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Approval 10/25/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Hackney

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Disapproval 10/31/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: Committee is concerned about process and that there could be legal ramifications from limiting the suspended person's ability to do business. Also concerned that it would jeopardize trainers livelihood if clients could not participate in equine activities 30 days prior to and 30 days after a horse show.  
Draft 4: No Comments

IFSHA

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Jumper

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Disapproval 11/13/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: JSC would approve if #8 was removed as it is too difficult to enforce.  
Draft 4: No Comments

Morgan

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Disapproval 10/25/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: Concern was raised that enforcement of the 30 day prior/post competition policy would be difficult.  
Draft 4: No Comments

National Hunter Committee

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Disapproval 12/17/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: GR704.8 is an overreach and should be left up to the individual to decide. Additionally, the language that currently exists with respect to suspended persons family matters is too punitive and should be addressed.  
Draft 4: No Comments

National Show Horse

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Disapproval 11/02/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: Concern was raised for how the 30 days prior to/post a competition portion of this rule would be enforced and by whom would the responsibility of the enforcing would fall upon.  
Draft 4: No Comments

NSH

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Paso Fino

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Approval 10/30/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

PFHA

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Reining

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Roadster

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Disapproval 11/12/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: Committee expressed concern that this proposed rule change raises legal ramifications with the suggested parameters. The committee thought would be difficult to monitor if clients were not allowed to have horses with a trainer 30 days before a show and 30 days after a show.  
Draft 4: No Comments

Saddle Seat Eq

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Disapproval 11/13/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: Committee is not in favor of this proposed rule change because it would not allow persons to do business with the suspended person. It would also restrict clients from competing if they remain with the suspended person 30 days prior and 30 days after the horse show. This would be difficult to monitor and enforce.  
Draft 4: No Comments

Shetland

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Approval 10/23/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

UPHA

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

US Saddle Seat World Cup

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

USA Reining

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

USDF

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

USEA

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

USHJA

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Disapproval 12/13/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: o GR704.8 – Overreach with respect to GR704.8. The language is too punitive. Unduly penalizes innocent individuals.  
Draft 4: No Comments

Vaulting

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

WDAA

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Welsh

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Approval 11/08/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Western Committee

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Disapproval 11/06/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: The committee felt this proposed rule change was a matter for legal review and that it is outside the scope of the Western Discipline.  
Draft 4: No Comments

Western Dressage

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Approval 10/30/2018  
Draft 4: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments  
Draft 4: No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	12/14/2018	Approved 1/12/2019

Proposed Change

**GR1034 Stewards and Technical Delegates** [CHAPTER SUBCHAPTER 10-H REGULATIONS GOVERNING OFFICIALS]

GR1034 Stewards and Technical Delegates

1. The following are required responsibilities and duties of Stewards and Technical Delegates:

- a. Safeguard the welfare of the horse **against violations of the Federation's Drugs and Medications rules, any of the Federation's prohibited practices, and instances of abuse as defined in GR839.**
- b. Protect the interests of: ~~competitors, judges and Competition Management.~~

- 1. Competitors by ensuring a safe and fair competition environment by consistently applying the Rules of the Federation; and**
- 2. Competition Management and judges by being available for rule interpretations and serving as a liaison between Licensed Officials, Competition Management, and the Federation.**

c. When ~~they~~ a **Steward or Technical Delegate** becomes aware of a potential violation of USEF **Federation** rules, without waiting for a protest, **he shall** investigate and notify the appropriate individual or official, and, if not rectified, issue a yellow warning card (GR1035), and/or file a charge (GR604). **At the conclusion of the investigation, if a Steward or Technical Delegates determines a charge may not be appropriate, all information surrounding the potential violation must be included in the Report.**

Proponent Details	Contact Information
Licensed Officials	Alina Brazzil abrazzil@usef.org

Rule Change Intent

Stewards and Technical Delegates in the field have requested further clarification and direction as to the meaning of GR1034. This rule change is intended to provide guidance as to the meaning of safeguarding the welfare of the horse by including examples that may indicate abuse. Additionally, this rule change provides some information of how stewards and TDs are expected to ensure that the interests of competitors, other licensed officials, and competition management are protected. Finally, this rule change reminds stewards and TDs that all potential rule violations must be reported to the Federation, even if the event does not immediately warrant a charge, in the opinion of the Licensed Official.

Linked Rules	Comments
	<b>Web:</b> steward and technical delegate responsibilities

Committee Actions

American Saddlebred	
<b>Draft 1:</b> Recommends Approval 11/14/2018	<b>Draft 1:</b> Committee suggests deleted the word "enjoyable" and adding the word "fair" as a substitute in point 1
<b>Draft 2:</b> No Action	<b>Draft 2:</b> No Comments
<b>Draft 3:</b> No Action	<b>Draft 3:</b> No Comments
Andalusian/Lusitano	
<b>Draft 1:</b> Recommends Approval 10/29/2018	<b>Draft 1:</b> No Comments
<b>Draft 2:</b> No Action	<b>Draft 2:</b> No Comments
<b>Draft 3:</b> No Action	<b>Draft 3:</b> No Comments

Arabian

Draft 1: Recommends Disapproval 10/30/2018  
Draft 2: Recommends Disapproval 12/06/2018  
Draft 3: No Action

Draft 1: The committee agreed with the intent of the rule but feel that some of the points would be hard to determine; for example water consumption depends on each horse. Concern was also raised that this would be hard for the Stewards to enforce, that they cannot properly monitor these specific areas on top of their other responsibilities.  
Draft 2: The committee's concerns from draft one are still relevant. The committee agreed with the intent of the rule but feel that some of the points would be hard to determine; for example water consumption depends on each horse. Concern was also raised that this would be hard for the Stewards to enforce, that they cannot properly monitor these specific areas on top of their other responsibilities. The committee also stated that this rule is now placing more responsibility on the Steward/TD to determine if a charge needs to be filed rather than reporting the issue and allowing USEF to make that decision which in that case would only make the Steward/TD a witness rather than the person filing the charge.  
Draft 3: No Comments

Breeds/Disciplines

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

Carriage Pleasure Driving

Draft 1: Recommends Disapproval 11/12/2018  
Draft 2: No Action  
Draft 3: No Action

Draft 1: Approves the concept but suggests changing "enjoyable" to "fair" in point 1 and committee would approve.  
Draft 2: No Comments  
Draft 3: No Comments

Competition Management

Draft 1: Recommends Approval 11/08/2018  
Draft 2: Recommends Disapproval 12/14/2018  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: The committee agrees with the intent of the rule but feel that some of the points would be hard to determine; for example water consumption depends on each horse. Concern was also raised that this would be hard for the Stewards to enforce. This rule is now placing more responsibility on the Steward/TD to determine if a charge needs to be filed rather than reporting the issue and allowing USEF to make that decision which in that case would only make the Steward/TD a witness rather than the person filing the charge.  
Draft 3: No Comments

Connemara

Draft 1: Recommends Disapproval 11/08/2018  
Draft 2: No Action  
Draft 3: No Action

Draft 1: Agree with the concept, but they are not able to guarantee an "enjoyable" experience. Would be inclined to approve if the "enjoyable" was changed to "fair".  
Draft 2: No Comments  
Draft 3: No Comments

Council - Admin & Finance

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Approval 12/18/2018

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

Council - Intl Discipline

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Approval 12/20/2018

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

Council - Natl Breed & Discipline

Draft 1: No Action  
Draft 2: No Action  
Draft 3: Recommends Approval 12/18/2018

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

Dressage

Draft 1: No Action  
Draft 2: Recommends Disapproval 11/29/2018  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: Good effort but needs more work and measurable content.  
Draft 3: No Comments

Driving

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

Endurance

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

English Pleasure

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

Eventing

Draft 1: Recommends Approval 11/13/2018  
Draft 2: Recommends Approval 12/08/2018  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments



Friesian

Draft 1: Recommends Approval 10/25/2018  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

Hackney

Draft 1: No Action 10/31/2018  
Draft 2: Recommends Disapproval 12/06/2018  
Draft 3: No Action

Draft 1: Committee voted to abstain concerning action for this rule  
Draft 2: The committee is concerned that the officials (Stewards and Technical Delegates) would not receive sufficient support from Competition Management or USEF should violations come to a hearing.  
Draft 3: No Comments

Jumper

Draft 1: Recommends Disapproval 11/13/2018  
Draft 2: No Action  
Draft 3: Recommends Approval 12/17/2018

Draft 1: JSC would approve if the word "enjoyable" was replaced with "fair".  
Draft 2: No Comments  
Draft 3: No Comments

Morgan

Draft 1: Recommends Disapproval 10/25/2018  
Draft 2: No Action  
Draft 3: No Action

Draft 1: Concern was raised that the verbiage "enjoyable", cannot be measured.  
Draft 2: No Comments  
Draft 3: No Comments

National Hunter Committee

Draft 1: Recommends Approval 10/22/2018  
Draft 2: No Action  
Draft 3: Recommends Approval 12/17/2018

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

National Show Horse

Draft 1: Recommends Disapproval 11/02/2018  
Draft 2: Recommends Disapproval 12/10/2018  
Draft 3: No Action

Draft 1: Concern was raised verbiage such as "obviously lame" is subjective; the committee was in agreement with the intent of the rule change but recommend verbiage that is less opinion based.  
Draft 2: Concern was raised that this draft still contains verbiage that is subjective; the committee was in agreement with the intent of the rule change but recommend verbiage that is less opinion based. Concern was also raised for the legal protection of the Steward/Technical Delegate if the accused were to take legal actions against them after a charge has been filed. It was also stated the subjective language would be hard to defend in court.  
Draft 3: No Comments

Paso Fino

Draft 1: Recommends Approval 10/30/2018  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

Reining

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

Roadster

Draft 1: Recommends Approval 11/12/2018  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

Saddle Seat Eq

Draft 1: Recommends Disapproval 11/13/2018  
Draft 2: No Action  
Draft 3: No Action

Draft 1: Committee approves the intent of this proposed rule change but does not believe that officials would have significant support from USEF and competition management. The committee suggests replacing "enjoyable" with "fair" in point b.1.  
Draft 2: No Comments  
Draft 3: No Comments

Shetland

Draft 1: Recommends Approval 10/23/2018  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

US Saddle Seat World Cup

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

USA Reining

Draft 1: No Action  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

USHJA

Draft 1: No Action  
Draft 2: Recommends Disapproval 12/13/2018  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: o USHJA is in favor of protecting horse welfare and agrees with concept. In GR1034.1.a. would approve if GR839 was referenced instead of listing specific actions  
Draft 3: No Comments

### Vaulting

**Draft 1:** No Action  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

### Welsh

**Draft 1:** Recommends Disapproval 11/08/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** The concept is good, but many of these features are not enforceable or measureable.  
**Draft 2:** No Comments  
**Draft 3:** No Comments

### Western Committee

**Draft 1:** Recommends Approval 11/06/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** While approving this rule the committee is concerned that the Officials need support from Competition Management and the USEF for enforcement of this rule and that support may not be forthcoming.  
**Draft 2:** No Comments  
**Draft 3:** No Comments

### Western Dressage

**Draft 1:** Recommends Disapproval 10/30/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** Concern was raised with the term "enjoyable", this is subjective wording. All other aspects were agreed with.  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	9/4/2018	Approved 1/12/2019

## Proposed Change

**GR1144 Western Dressage** [CHAPTER SUBCHAPTER 11-F AWARD SECTIONS]

1. Eligibility: See GR 1110.

2. Point Tabulation: See GR 1113-1119.

a. Full points will be awarded to all Western Dressage classes conducted at USEF licensed competitions, as well as Breed restricted competitions and competitions holding open Western Dressage classes. ~~Points in all classes will be awarded as follows. They are awarded on the scores of rides versus placings. Scores are achieved through a horse and rider combination, (unit).~~

b. Points in all classes will be awarded as follows: They are awarded on the scores of rides versus placings. Scores are achieved through a horse and rider combination, (unit).

3. Award Categories:

1. Open:

- Intro Tests (1-4)
- Basic Tests (1-4)
- Level 1 Tests (1-4)
- Level 2 Tests (1-4)
- Level 3 Tests (1-4)
- Level 4 Tests (1-4)
- **Western Dressage Suitability**
- **Western Dressage Hack**
- **Western Dressage Seat Horsemanship**
- **Western Dressage Seat on the rail**

2. **Amateur:**

- Intro Tests (1-4)
- Basic Tests (1-4)
- Level 1 Tests (1-4)
- Level 2 Tests (1-4)
- Level 3 Tests (1-4)
- Level 4 Tests (1-4)
- **Western Dressage Suitability**
- **Western Dressage Hack**
- **Western Dressage Seat Horsemanship**
- **Western Dressage Seat on the rail**

3. **Junior:**

- Intro Tests (1-4)
- Basic Tests (1-4)
- Level 1 Tests (1-4)
- Level 2 Tests (1-4)
- Level 3 Tests (1-4)
- Level 4 Tests (1-4)

• **Western Dressage Suitability**

• **Western Dressage Hack**

• **Western Dressage Seat Horsemanship**

• **Western Dressage Seat on the rail**

4. ~~Western Dressage Rail Classes: To include points earned in all Western Dressage Suitability, Hack and Equitation classes including open, amateur and junior exhibitor.~~

Proponent Details

WDAA

Contact Information

Nicole Zerbee

nzerbee@usef.org

Rule Change Intent

The WDAA feels that having separate HOTY award categories for open, amateur and juniors will be an incentive for individuals to attend USEF shows and record their horses with USEF. WDAA is communicating with their members about the USEF HOTY award program with the hopes it results in more USEF memberships and more participation at USEF competitions.

Linked Rules

Comments

Web: WD points

Committee Actions

Arabian

**Draft 1:** Recommends Approval 10/30/2018

**Draft 1:** No Comments

Council - Natl Breed & Discipline

**Draft 1:** Recommends Approval 11/26/2018

**Draft 1:** No Comments

Friesian

**Draft 1:** Recommends Approval 10/25/2018

**Draft 1:** No Comments

Morgan

**Draft 1:** Recommends Approval 10/25/2018

**Draft 1:** No Comments

National Show Horse

**Draft 1:** Recommends Approval 11/02/2018

**Draft 1:** No Comments

Western Dressage

**Draft 1:** Recommends Approval 10/30/2018

**Draft 1:** No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	2/1/2019	1/14/2019	Approved 1/12/2019

## Proposed Change

**GR309 Special Competitions** [CHAPTER 3-B Competition Classification]

## GR309 Special Competitions

A Special Competition is an event, which may not fully meet the requirements for obtaining Federation licensing; however, it has been deemed in the best interest of the breed and/or discipline and/or sport, and the Federation that the event be sanctioned by the Federation. After written application to the Federation at least six months prior to an event, a competition may be approved for Special Competition classification upon approval of the Board of Directors. The Federation must provide the applicable Recognized Affiliate, Council, and discipline or breed committee with all information pertaining to the request, including mileage and any other conflicts, for the purpose of making a recommendation as to approving or disapproving the request. A non-refundable processing fee must be submitted with the application. Special Competition classification may include, but is not limited to, the following: Olympic Games or Trials; Pan Am Games or Trials; World Equestrian Games or Trials; World Cup Finals or Qualifiers; FEI-CSI 5\* events held as a standalone event and not in conjunction with any other competition; Nations Cup Finals; Nations Cup CSIO's; Federation National Finals; Federation National Championships; Recognized Affiliate championships; and any other events deemed by the Board of Directors as fitting in this classification. Competitions classified as Special Competitions are exempt from the mileage rules for the classes and divisions approved by the Board of Directors.

**For the purposes of this rule, the term "Special" relates to the type of competition license and is not associated with the merits or quality of the competition.**

**1. The Federation Board of Directors, in its sole discretion, may approve or deny an application for Special Competition status in accordance with Federation rules, policy and procedure. If approved, a Special Competition will be added to the USEF Competition Calendar without regard for existing mileage rules and conflicts and will receive no mileage protection from new or existing competitions. A Special Competition may be held as a stand-alone event or in conjunction with an existing USEF licensed competition. A Special Competition may not fully meet the requirements to obtain a Federation Competition License.**

**2. Special Competitions are categorized in the following manner and shall be reviewed in accordance with Section 4.**

**a. Category I – FEI-named Competitions and FEI Championships which are part of a competitive bid process, including but not limited to Olympic Games or Trials; Pan Am Games or Trials; World Equestrian Games or Trials; World Cup Finals or Qualifiers; Nations Cup Finals or Qualifiers; and Nations Cup CIOs. These competitions may or may not include additional FEI-only classes.**

**b. Category II - Federation National Finals and Federation National Championships which do not fully meet the requirements to obtain a Federation Competition License.**

**c. Category III - USEF Recognized Affiliate Organization Championships or Finals which do not fully meet the requirements to obtain a Federation Competition License.**

**d. Category IV - A competition for which USEF breed or discipline rules do not exist that an applicant wishes to have approved by the Federation and which the Board of Directors, in their sole discretion, deems to be in the best interest of the sport.**

**3. Application Process.**

**a. An application for consideration of a Special Competition must be submitted to the Federation a minimum of 180 days in advance of the start date of the proposed competition. Generally, an application should not be submitted more than 365 days prior to the start date of the proposed competition. However, unusual circumstances may require an earlier submission. Such circumstances must be included on the application and will be considered in the review process.**

**b. An application for a Special Competition will not be considered complete and will not be processed until all applicable fees have been paid, except when the application is part of a competitive application or bid process (e.g. FEI World Cup Qualifiers, certain USEF National Championships, etc.). When an application is part of a competitive application or bid process, the fee will not be required until a determination is made regarding the awarding of the competition.**

**c. An application for a Special Competition must include the following:**

**(i) All classes, sections, demonstrations, and exhibitions to be held during the proposed competition dates, including all prize money, bonus money, or other awards;**

**(ii) The basis for competition's inability to meet Federation rules regarding competition licensing; and**

**(iii) The basis for competition's inability to meet Federation rules regarding specific breed/discipline rules.**

d. The inability to meet Federation rules under section (ii) or (iii) above shall not result from a need to obtain an exception to existing Federation rules, or program requirements, or from circumstances within the applicant's control. However, the Federation recognizes that situations may arise whereby an applicant cannot meet Federation rules due to the actions of the FEI or USEF and such actions may qualify as permissible explanation.

e. Special Competitions are not intended as a means of circumventing USEF's licensing rules in GR Chapter 3. If an application for a Special Competition includes an FEI competition not identified in Section 2(a) above, a national competition, or a combination of both which creates a mileage conflict, that portion of the application will be required to utilize the Mileage Exemption process for approval. In the event of a need for a mileage exemption, the Federation may alter the timelines set forth in GR 315.

f. For licensing purposes, all jumper prize money from FEI and national competitions will be combined and counted in determining a competition's Jumper Level.

g. If a Special Competition is combined with an existing priority date holder competition, the priority date holder competition will retain priority status at its original rating and/or level.

#### 4. Application Review Process.

Depending on the category of a Special Competition, the application may be reviewed by one or more entities of the USEF and its Recognized Affiliates before being submitted to the Board of Directors for their consideration and final decision. The Board of Directors reserves the right to appoint an Ad Hoc Committee to review applications and render a final decision.

1. Applications for a Category I competition shall be reviewed by the applicable USEF Councils.
2. Applications for a Category II or III competition shall be reviewed by the applicable USEF Committees and Councils.
3. Applications for a Category IV competition shall be reviewed by the applicable USEF Recognized Affiliates, Committees and Councils.

#### 5. Modifications or Amendments to an Approved Special Competition.

Modifications or amendments to an approved Special Competition are not permitted unless approved by the Federation in writing. A modification to an approved Special Competition must be approved in writing by the Federation after review by the CEO or his designee, following consultation with the Director of Competition Services or his designee and the Director of Sport or his designee(s) for the applicable breed or discipline. Modifications to an approved Special Competition must be received by the Federation at least 30 days prior to the start of competition.

Proponent Details	Contact Information
Competitions Task Force	Bill Moroney bmoroney@usef.org

Rule Change Intent
This rule change is being proposed in order to more clearly define the categories of Special Competitions, the application and review process of each category, the information needed for application purposes, and the modification process.

Linked Rules	Comments
	<b>Web:</b> Special Competitions

Committee Actions	
Andalusian/Lusitano	
<b>Draft 1:</b> Recommends Approval 10/29/2018	<b>Draft 1:</b> No Comments
<b>Draft 2:</b> No Action	<b>Draft 2:</b> No Comments
<b>Draft 3:</b> No Action	<b>Draft 3:</b> No Comments
Arabian	
<b>Draft 1:</b> Recommends Approval 10/30/2018	<b>Draft 1:</b> No Comments
<b>Draft 2:</b> No Action	<b>Draft 2:</b> No Comments
<b>Draft 3:</b> No Action	<b>Draft 3:</b> No Comments
Competition Management	
<b>Draft 1:</b> Recommends Approval 11/08/2018	<b>Draft 1:</b> This approval is with the request that there should be a notification to effected competitions within the appropriate mileage boundary
<b>Draft 2:</b> No Action	<b>Draft 2:</b> No Comments
<b>Draft 3:</b> No Action	<b>Draft 3:</b> No Comments
Council - Admin & Finance	
<b>Draft 1:</b> Recommends Approval 12/18/2018	<b>Draft 1:</b> No Comments
<b>Draft 2:</b> No Action	<b>Draft 2:</b> No Comments
<b>Draft 3:</b> No Action	<b>Draft 3:</b> No Comments

Council - Intl Discipline

**Draft 1:** Recommends Approval 12/20/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Council - Natl Breed & Discipline

**Draft 1:** Recommends Approval 12/18/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Friesian

**Draft 1:** Recommends Approval 10/25/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Jumper

**Draft 1:** Recommends Approval 11/13/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Morgan

**Draft 1:** Recommends Approval 10/25/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

National Hunter Committee

**Draft 1:** Recommends Disapproval 12/17/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** The committee agrees with the USHJA comment: While the original intent of this rule was for the FEI-type classes, if it approved with the four categories currently included, it has a possible future consequence of preventing sport growth through innovative and outside the box ideas.  
**Draft 2:** No Comments  
**Draft 3:** No Comments

National Show Horse

**Draft 1:** Recommends Approval 11/02/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Paso Fino

**Draft 1:** Recommends Approval 10/30/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Shetland

**Draft 1:** Recommends Approval 10/23/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

USHJA

**Draft 1:** Recommends Disapproval 12/13/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** While the original intent of this rule was for the FEI-type classes, if it approved with the four categories currently included, it has a possible future consequence of preventing sport growth through innovative and outside the box ideas.  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Western Dressage

**Draft 1:** Recommends Approval 10/30/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	2/1/2019	1/14/2019	Approved 1/12/2019

## Proposed Change

**09.24.18 – Final Draft v6 - Chapter 3 Competition Licensing**

## CHAPTER 3 COMPETITION LICENSING

**SUBCHAPTER 3-A COMPETITION LICENSE APPLICATIONS**

GR301 Agreement

GR302 License Application Policies and Procedures

GR303 Competition Fees, Account Review, and Insurance

GR304 Competition Sale and Issuance of a New License

GR305 Cancellation of Competitions

GR306 Inactive Competitions

**SUBCHAPTER 3-B COMPETITION CLASSIFICATION**

GR307 Classification

GR308 National Championships

GR309 Special Competitions

GR310 Restrictions on Local Competitions

GR311 Awards Rating Classifications

GR312 Determining Ratings

GR313 Special Conditions

**SUBCHAPTER 3-C COMPETITION MILEAGE**

GR314 Mileage

GR315 Mileage-Exemption

**SUBCHAPTER 3-D MILEAGE EXEMPTION AND COMPETITION LICENSE DISPUTES**

GR315 Mileage Exemption

GR316 License Application Disputes and Resolution

**Preamble**

*The licensing authority, licensing decisions, and calendar management rest solely with the Federation. The Federation seeks to provide a competition environment that is in the best interest of the sport of Equestrian, provides sufficient opportunity for the development of equine and human athletes, and provides for viable competitions to meet the needs of the sport at all levels within a geographic area.*

**GR301 Agreement**

1. All applications for a Federation license are accepted with the explicit agreement of competition Licensee that all classes (rated or unrated) to be held on a



Federation licensed date must be recognized by the Federation and are governed by all applicable Federation rules, and that no unrecognized classes will be held on any date for which Federation recognition is requested, except that:

- a. Horse Trials at Eventing Competitions below the Preliminary Level
- b. Eventing Tests at all levels
- c. Combined Driving below the Advanced Level
- d. Draft Horses classes
- e. Miniature Horse classes
- f. Non-affiliated National Breed or discipline association classes
- g. Vaulting levels/classes below A-Teams, B-Teams, C-Teams, Senior Teams, Junior Teams, Gold, Silver, Bronze, Senior and Junior Individuals, and **Open Pas de Deux**, **Senior Pas de Deux**, and Junior Pas de Deux.
- h. Academy classes
- i. Qualifying classes for Youth Reining classes or Reining classes at USA Reining and NRHA approved competitions.
- j. Exhibitions for which there are no breed or division rules
- k. Hunter/Jumper competitions designated "Outreach" competitions by USHJA and limited to either one competition day or multiple competition days with a maximum of two competition rings (one hunter ring and one jumper ring) per day.
- l. Unrecognized classes may be held in conjunction with or during a Federation Licensed Paso Fino competition. *BOD 1/14/17 Effective 12/1/17*
- m. These above named classes/levels can be held as unrecognized only provided a separate entry blank is used and the prize list and/or Omnibus clearly states that the classes are not recognized by the Federation.
- n. Exception: FEI rules take precedence as to international classes and events over Federation rules at all FEI Sanctioned Competitions. Federation rules take precedence as to national classes and events which are not FEI Sanctioned at FEI Sanctioned Competitions.

### **GR302 License Application Policies and Procedures**

#### 1. General Provisions.

- a. License Duration. The duration of the License agreements will be one (1) year. All Licensed Competitions are subject to a Competition Evaluation.
- b. Eligibility. ~~A License application or renewal from a Licensee may not be accepted if it has been determined by the Federation that the Licensee has outstanding issues which may relate to financial matters, safety matters, failure to comply with Federation rules, breach of a prior license agreement, or any other issues that may be prejudicial to the best interest of equestrian sport.~~ **The approval of a new or renewal License Application shall not be unreasonably withheld. However, the Federation may reject a new or renewal License Application, if the Chief Executive Officer determines that the Licensee is unsuitable to host a Federation competition based on any of the following factors:**

1. **The criteria enumerated in GR 315.3(a), (b), and (c);**
2. **Financial viability concerns;**
3. **Safety matters;**
4. **Failure to comply with any Federation rules;**
5. **Breach of any Federation competition license agreement; or**
6. **Any other factors that may be prejudicial to the best interest of equestrian sport.**

- c. Submission of Competition License Applications. Complete License Applications must be accompanied by all appropriate fees. Applications should be sent to the Federation using a method that provides delivery confirmation. The Federation is not responsible for undelivered applications. Applications must be received by the date specified in the policies. Applications for competitions, which are not made in accordance with the preceding requirements, will not be considered. The Federation shall provide written acknowledgement to all license applicants within 14 working days of receiving a completed license application and the applicable fees.

- d. Holding of License Applications. License applications for competitions unable to be approved for any reason, excluding mileage conflicts, will be sent written notification by the Competitions Department and held for a period of 30 calendar days from the date of that notification to allow the competition in question to submit the information required, seek alternative dates, or make other license modifications. At the end of the 30-day period, if the application has not been completed or the 30-day period has not been extended by the Competition Licensing Department following the submission of a written request, the application will be considered to have been withdrawn and the dues will be refunded less a withdrawal fee pursuant.

1. Except as provided herein, there will be no holding of applications or dues, and applicants must reapply annually. Applications that seek approval for a License to conduct a competition on a date that has been open for more than the web posting period will be accepted at any time with respect to the deadlines outlined in GR302. All applications for dates that have been open for more than the open date web posting period will be considered in the order received.

- e. Competition Inspection. The Federation shall determine when a competition venue requires inspection(s). If the competition facility is not complete at the time of inspection, the Licensee must submit evidence that the facility will be complete by the competition start date to the satisfaction of the Federation. Any Federation required inspection(s) of a competition venue will be conducted at the applicant's expense.

f. Requests for Additional Information. The Federation may, at its discretion, request additional information from an applicant. Applicants are expected to supply all relevant information with their application. The license will define the obligations of both the Federation and the Licensee and are subject to nonrenewal or termination by either party.

g. Competition Calendar. Existing licensed dates and locations are posted on the Federation website. Competitions listed on the FEI calendar remain subject to Federation approval and may be removed from the FEI calendar if approval is not granted. When a date that has been licensed becomes available (New Open Date), it will be posted on the Federation website for 30 calendar days. Reasons a date may become available include, but are not limited to:

1. A renewal license application and/or payment not being received by the Federation in accordance with the application requirements;
2. Failure of the existing competition to achieve a satisfactory Competition Evaluation;
3. Licensee is not in "good standing" with the Federation, or any other issues that may be prejudicial to the best interest of the sport;
4. A cancellation, withdrawal, or revocation of a license.

h. License Modification. Any changes to the License agreement including location, dates, rating, level, or prize money, will require a license modification request and payment of a fee. Any modifications resulting in a conflict will result in the loss of Priority Date Holder status. Any modification not resulting in a conflict shall comply with the provisions set forth below in order to retain Priority Date Holder status. USEF may or may not approve the requested changes.

1. Holding a competition on a date(s) other than that approved shall constitute a violation of the rules unless a request to change the date(s) is received in the Federation's office at least 30 calendar days prior to the competition and permission is duly given.

a. For Eventing competitions, requests for change of competition date(s) must be received 10 calendar days prior to the first day of the competition.

2. Holding a competition at a location other than as stated on the date application shall constitute a violation of the rules unless a request to change the location is received in the Federation's office at least 60 calendar days prior to the competition date and permission is duly given.

3. Failure to obtain the permission of the Federation at least 30 calendar days prior to the competition to add a division, not offer an approved division, or change the rating of an approved division, constitutes a violation of the rules.

a. For Eventing competitions, requests to add or cancel a division must be received at least 10 calendar days prior to the first day of the competition.

4. A Licensee requesting a license modification involving a change of location that results in a mileage conflict may request to maintain Priority Date Holder status at the new location. Competitions that would be impacted by the change of location shall have the opportunity to submit written comments to the Federation prior to a determination. A determination shall be made by a Federation Internal Review Panel, as defined in GR315, along with a representative appointed by the relevant Recognized Breed/Discipline Affiliate. If a Licensee's request to maintain Priority Date Holder Status is denied, he may still request a mileage exemption.

i. Competitions that are not conducted in accordance with the terms of the license agreement will have breached the terms of the license agreement. Such breach may result in cancellation or nonrenewal of the license agreement, the Licensee may be ineligible for a license in the future, or other penalties under the provisions of GR707.

## 2. New Competitions Without Mileage Conflicts.

a. License applications for new competitions will be accepted beginning December 1 of each year for the subsequent competition year.

b. A competition year begins on December 1st of the prior calendar year.

c. Applicants will refer to the Federation Competitions Calendar for open dates.

d. Applications for a new competition license agreement must be made on the form provided by the Federation and received by the Federation at least 60 calendar days prior to the start date of the competition.

e. In the event that an applicant competition has a mileage conflict with an existing competition(s), an applicant may seek a mileage exemption in accordance with GR315.

~~1. Absent a showing of material change to the mileage exemption application as determined by the CEO or his designee, a request for a mileage exemption will be returned if the licensee has submitted and been denied two previous mileage exemption requests for the same competition.~~

f. License Applications for new competitions that the Federation disapproves for any reason, including mileage conflicts, will be sent written notification by the Federation.

## 3. Renewing Competitions.

a. License renewals, including the applicable fees, must be received within 60 calendar days of the last day of the licensed competition.

1. License renewal applications received more than 60 calendar days after the last day of the applicable competition under an existing license will be considered as a new application consistent with GR302. A Licensee may request to renew their competition license by completing the applicable information on the post competition report providing that the Licensee signs this report and submits the applicable fee.

2. The Federation shall notify a Licensee in writing as soon as possible, but no more than 90 days from receipt of a renewal request, if there are conflicts or other issues preventing renewal.

3. The Federation shall provide a License Agreement to a Licensee as soon as possible, but not later than 90 days from receipt of a renewal request, if there are no conflicts or other issues preventing renewal.

b. A competition will be eligible for renewal on comparable dates at the same location as long as the following conditions are met:

1. The renewal and applicable fee is submitted within 60 calendar days after the last day of the current year's competition;
2. The competition has a satisfactory Competition Evaluation;
3. The Licensee is in good standing and does not have any outstanding dues, fines or fees owed to the Federation;
4. A renewal is subject in all respects to the mileage rule and all other applicable rules, policies, and procedures in existence at the time of renewal.

***c. A licensee may advertise a new or renewing competition prior to receiving a license provided that the advertising clearly and prominently states that the competition is pending Federation approval.***

***ed.*** Competition Evaluation

1. To the extent appropriate, additional information on the applicable Competition Evaluation process and procedures will be referenced in the License agreement. It is the responsibility of the Licensee to comply with all applicable published or otherwise noticed requirements for Licensed Competitions.

2. Federation licensed competitions will be subject to a Competition Evaluation. The Evaluation is utilized to assist the Federation in determining if renewal of a license and the continued use of Federation dates are in the best interest of the sport of equestrian.

3. Competition Evaluations will be conducted in accordance with Federation competition evaluation processes and procedures in addition to Competition Evaluation Reports, reports from Federation assigned Stewards and Technical Delegates, and Recognized Affiliate evaluation reports.

d. Date Rotation. For competitions beginning December 1, 2008 or later, the following provisions will be in effect:

1. For the 2009 competition year and thereafter, comparable dates will be based on a competition's 2006 dates using the Memorial Day Date Rotation Axis. Under the Memorial Day Date Rotation Axis, all Federation competition dates rotate in conjunction with Memorial Day.

2. For the 2009 competition year, any competition that did not hold a license for the 2006 competition year, but received a license for the 2007 and/or 2008 competition year, will have priority over new competitions requesting dates. However, competitions with licenses for the 2006 competition year will have priority over any of the above mentioned competitions.

### **GR303 Competition Fees, Account Review, and Insurance**

1. Competition Fees. Competition fees will be posted to the Federation website and noted on applicable competition forms. Any changes to competition fees will be posted on the Federation website and will apply to the next competition year.

2. No competition dates will be licensed for a Licensee that has outstanding past due fees, fines, or other obligations of 60 days or more owing to the Federation with respect to any past Licensed Competitions.

3. Any Licensee who fails to pay sums owed to the Federation, or who makes payment for fees to the Federation which is not negotiable, will be notified by the Federation of its indebtedness and subject to the applicable policies of the Federation. The Federation will provide Licensees with online access to review their entire competition accounts.

a. If any Licensee affected by GR303.3 disputes that the amounts in question are owed or unpaid, the Licensee may request to have the matter reviewed by the Hearing Committee, provided his or her written statement specifying the grounds for such review is received at the Federation's office, along with a fee, in accordance with the applicable policies. The fee will be refunded if the dispute is settled in favor of the Licensee.

b. In the event a Licensee makes non-negotiable payment for fees to the Federation on three or more occasions, said Licensee is subject to further disciplinary action.

4. A Licensee may request an account review from the Federation. The request must be submitted in writing and a fee for each competition and each requested account review year will be charged. An account review is an investigation into a Licensee's financial dealings with the Federation. If material staff error is discovered, the fee will be refunded.

5. A Licensee may request a research of Federation records concerning the history of a competition date. The request must be submitted in writing and a fee will be charged per each request. The fee must accompany the request. If material staff error is discovered, the fee will be refunded.

6. A certificate of insurance for each competition must be received by the Federation office at least 14 calendar days prior to the competition by mail with proof of delivery or submitted electronically via e-mail, or via fax. Competitions faxing their certificate of insurance to the Federation must retain a copy of the fax confirmation. If the certificate is not received 14 days prior to the competition, insurance coverage will be acquired and the competition invoiced. Each certificate must name the Federation as additional insured for each day of the competition, including set-up and take-down days, with minimum limits of \$1,000,000 third party general liability insurance and \$50,000 on equipment and property. Competitions failing to provide proof of such coverage (or such coverage to the extent permitted by local law) will automatically be enrolled in the group policy for competitions and will then be invoiced the then prevailing premium for such insurance. Competitions outside the United States must provide evidence of equivalent coverage of such insurance and will not be enrolled in the group policy.

a. Competitions must notify the Federation in writing of cancellation of their insurance policies by their insurance provider.

b. A fine will be imposed on any competition in the United States for which evidence of adequate insurance is not received at least two weeks prior to the competition. The fine is in addition to the amount of the invoice for the automatic enrollment in the group policy. A competition disputing that the invoice and/or the fine is properly owing may appeal in writing to the Federation within 30 calendar days of management's receipt of the Federation's notice of billing and/or fine, specifying the grounds for the appeal. The Federation's CEO or his designee, a special committee appointed by the president or the Hearing Committee will consider the appeal and may waive part or all of the billing and/or fine upon a finding of good cause why the evidence of insurance was not filed timely and/or a finding that extreme hardship results from the automatic penalty.

7. Any competition that states in the prize list that the competition is licensed before the competition has been granted licensing by the Federation may be subject to a penalty. *BOD 1/14/17 Effective 12/1/17*

#### **GR304 Competition Sale and Issuance of a New License**

1. A Federation Competition License is the Federation document giving a Licensee permission to conduct a Federation event on a given date, at a given venue, and at a given rating and/or level.

2. Competition Sale. A Licensee does not own the Competition License. A Federation Competition License is a governance tool and not a commodity. As such, a sale of a competition should be contingent on Federation approval and issuance of a new license.

3. Issuance of a New Competition License. The granting of a new license to a Purchaser Licensee will not be unreasonably denied, subject to certain conditions or criteria including date, venue, rating, and/or level of the competition and completion of applicable forms and submission of a fee. The Purchaser Licensee will retain the applicable Priority Date Holder protections of the Seller Licensee. The Issuance of a new Competition License is subject to the following terms and conditions:

a. The issuance of a new Competition License due to a competition sale is subject to the approval of the Federation, following consultation with the applicable Recognized Affiliate;

b. The final decision to approve or deny the issuance of a new Competition License is at the sole discretion of the Federation;

c. In considering the approval or disapproval of the issuance of a new Competition License, the Federation shall consider the best interest of the sport including consideration of the growth and development of the sport. The Federation may consider concentration of dates in the control of a single Licensee or group of related Licensees when determining the appropriateness of the issuance of a new competition license;

d. The Purchaser Licensee must have demonstrated an ability to comply with rules, requirements, and standards that are in effect or established for the Competition Rating and/or Level at the time the issuance of a new Competition License;

e. Both the Seller and the Purchaser of the competition must disclose all proposed terms of the competition sale and must apply and obtain Federation approval before the issuance of a new license can be completed. All information will be considered confidential. Prior to any such approval or denial by the Federation, the Recognized National or FEI Affiliate, if any, may be consulted in regard to the request for issuance of a new license. The Federation may share, on a confidential basis, with the Recognized National or FEI Affiliate all of the terms or conditions of the issuance of a new Competition License, and all special conditions or other considerations. Such approval or denial by the Federation shall not subject the Federation to any liability or obligate it to any third party. Both Seller and Purchaser of the competition must agree to indemnify and hold harmless both the Federation and the Recognized National or FEI Affiliate from any liability or legal expense arising from approval or denial of the agreement. Failure to completely disclose terms and conditions of a competition sale may result in termination of the License by the Federation and any sanctions, penalties, or other remedies available to the Federation.

4. The Seller Licensee shall remain financially responsible until the following conditions are met:

a. Payment of the applicable fees;

b. The Federation issues written approval of the issuance of a new competition license; and

c. Seller has met all other financial obligations to the Federation.

5. The Purchaser Licensee will have the right to apply for renewal of comparable dates upon issuance of a new competition license.

#### **GR305 Cancellation of Competitions**

1. A Licensee may cancel an existing Licensed competition by notifying the Federation of the cancellation and returning the License to the Federation not less than 300 calendar days prior to next competition date under the License.

a. When a Cancellation is received more than 90 calendar days but less than 300 calendar days prior to the first day of the competition date under the License, 75% of competition dues will be refunded, subject to a minimum processing fee.

b. If written notice of a cancellation is received less than 90 calendars days prior to the first day of the competition, the Licensee will forfeit the application fee and will also be assessed a processing fee equal to that of the minimum competition dues, unless the cancellation is due to an Act of God under GR305.4. *BOD 1/14/17 Effective 12/1/17*

2. If a licensed competition is cancelled for two consecutive years for a reason other than an act of God, or due to extenuating circumstances approved by the CEO or his Designee, the applicable license will not be eligible for renewal **or application for a new license by the same licensee or any entity associated with the same licensee for a period of two years. If the licensee is a business entity, this provision includes all persons listed as principals of the business entity.**

3. Cancellation of 50% or more of Open Dressage classes as listed in the prize list by any Recognized competition for two consecutive years for any reason

other than Acts of God shall constitute relinquishment of comparable dates and loss of priority date status.

4. Competitions declaring cancellation due to an Act of God must provide written documentation, such as newspaper articles or photographs of the extenuating circumstances. Absent severe and unusual circumstances, such as hurricane winds, floods, tornadoes, or blizzards, weather conditions shall not be considered Acts of God. The CEO or his designee shall decide whether a cancellation is due to an Act of God. Any Licensee that cancels three or more competitions in a competition year shall not have priority for comparable dates for the cancelled competitions for the following competition year. However, this provision shall not be applicable to a competition cancelled due to an Act of God, loss of a facility, or due to extenuating circumstances, based upon a review by the CEO or his designee.

### **GR306 Inactive Competitions**

1. Any Licensed Competition held the previous year that notifies the Federation at least 120 days prior to its competition date that it will not hold a competition that year, may retain Inactive status for a fee in addition to the application and/or competition fees. In such cases, the renewal license application will be subject to the current fees.

2. An Inactive Competition will be listed as "Inactive" on the Federation website and other Federation competition lists, and will retain its date priority for the following year, provided it meets the applicable date and competition requirements of the Federation.

3. If an Inactive Competition cancels the following year, that cancellation will constitute a second cancellation in a row per GR305, and the applicable license will be revoked and not eligible for renewal **or application for a new license by the same licensee or any entity associated with the same licensee for a period of two years. If the licensee is a business entity, this provision includes all persons listed as principals of the business entity.** This provision shall not be applicable to a competition cancelled due to an Act of God or due to extenuating circumstances approved by the CEO or his designee.

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### **SUBCHAPTER 3-B COMPETITION CLASSIFICATION**

#### **GR307 Classification**

1. Licensed Competitions may be classified as Regular Competitions, Local Competitions, Eventing Competitions, Dressage Competitions, Driving Competitions, Endurance Competitions, Reining Competitions, and Vaulting Competitions.

2. Divisions and sections of Regular Competitions are classified as follows for the purpose of reckoning points toward the Federation Annual Horse of the Year Awards. (Exception: Jumper Division, see GR1114):

a. A, B, or C; or

b. To include any of the following, individually or combined: Andalusian/Lusitano;

1. Arabian;

2. Friesian;

3. Hackney;

4. National Show Horse;

5. Morgan;

6. Roadster;

7. American Saddlebred;

8. Shetland.

3. Divisions and sections of Local, Dressage, Driving Competitions, Endurance Competitions, Reining Competitions, Vaulting Competitions, and Eventing Competitions are not rated. However, Dressage Competitions are categorized by levels.

4. Federation Endorsed Competitions, Divisions, or Levels or those events receiving approval pursuant to the Federation Bylaws.

5. Heritage Designations

a. Heritage designations are reserved for those competitions within the sport of Equestrian that have been established for a long period of time and have made a substantial contribution toward the development and promotion of the sport of equestrian, both within the sport and as well as within the broader community, by achieving, maintaining, and promoting the equestrian ideals of sportsmanship and competition.

b. Approval for all Heritage Designations requires:

1. Recommendation by the Federation CEO;

2. Approval by the Federation Affiliate primarily represented by the competition;
  3. Approval of the Federation Board of Directors.
- c. Heritage designation may be removed by a two-thirds vote of the Federation Board of Directors.
- d. Eligibility for Heritage Designation requires:

1. Minimum of 25 consecutive years of operation excluding any Act of God interruption;
2. Application by the Competition Licensee;
3. The Competition must be in good standing with both the Federation and the representing affiliate;
4. Significant involvement and support from the community where the competition is held;
5. Significant contribution to promotion of the sport of equestrian;

6. Widely recognized within the sport of equestrian as being a Regional, National or International level of competition, or possessing other characteristics that make it unique within the sport of equestrian.

e. Heritage competitions are permitted and encouraged to advertise their status as Heritage competitions.

### **GR308 National Championships**

The Federation shall have the exclusive right to designate national championships in the disciplines for which the Federation is designated as the National Governing Body by the United States Olympic Committee and in the disciplines for which the Federation is designated as the National Federation by the Federation Equestre Internationale (Dressage, Driving, Endurance, Reining, Show Jumping, Three-Day Eventing, Para-Equestrian and Vaulting). The allocation of national championships in the foregoing disciplines, including issuance of date approvals, licensing of officials, approval of name and all copyright, trademark, trade name, television, video and other broadcast rights and all sponsorship matters shall be solely reserved to the CEO acting upon the advice and recommendation of the relevant Council. All persons or organizations, including affiliate organizations, organizing committees and/or competitions managements, wishing to receive Federation approval to hold a national championship in the foregoing divisions must apply to the Federation in writing on appropriate form(s) provided by the Federation and received by the Federation's office by the applicable deadline. The CEO shall be entitled to condition the Federation's designation and granting of approval for a national championship in any manner that he deems appropriate in his discretion.

### **GR309 Special Competitions – See PRC for Special License Competition**

~~A Special Competition is an event, which may not fully meet the requirements for obtaining Federation licensing; however, it has been deemed in the best interest of the breed and/or discipline and/or sport, and the Federation that the event be sanctioned by the Federation. After written application to the Federation at least six months prior to an event, a competition may be approved for Special Competition classification upon approval of the Board of Directors. The Federation must provide the applicable Recognized Affiliate, Council, and discipline or breed committee with all information pertaining to the request, including mileage and any other conflicts, for the purpose of making a recommendation as to approving or disapproving the request. A non-refundable processing fee must be submitted with the application. Special Competition classification may include, but is not limited to, the following: Olympic Games or Trials; Pan Am Games or Trials; World Equestrian Games or Trials; World Cup Finals or Qualifiers; FEI CSI 5\* events held as a standalone event and not in conjunction with any other competition; Nations Cup Finals; Nations Cup CSIO's; Federation National Finals; Federation National Championships; Recognized Affiliate championships; and any other events deemed by the Board of Directors as fitting in this classification. Competitions classified as Special Competitions are exempt from the mileage rules for the classes and divisions approved by the Board of Directors.~~

### **GR310 Restrictions on Local Competitions**

1. The total cash prizes shall not exceed \$500. Except Open Western Division (see GR310.5), 100% sweepstakes, and Reining Competitions; not including value of trophies offered.
2. The designation Local Competition must be stated on the cover of the prize list.
3. The Federation and applicable Federation Recognized Affiliate Association non-member/Show Pass fee will not apply.
4. Local Competitions benefit from all the general rules of the Federation and must abide by applicable division rules unless class specifications are printed otherwise in the prize list.
5. Western Division competitions may retain Local Competition status regardless of the amount of prize money offered.

### **GR311 Awards Rating Classifications**

1. For the purpose of equalizing competitions for the National Horse of the Year Awards, divisions and sections of Regular Competitions fall into one of three classifications: "A", "B", or "C" rated.

2. In the Hunter division, the Increment System will determine points for ribbons won in any rated section. The point value for ribbons won in "A", "B," or "C" rated hunter sections is determined according to the Increment System utilizing a combination of the base points for each placing at each level of section rating and adding one point for each entry shown in the first performance class.

a. In the Green Hunter 3'0", 3'3", 3'6" and 3'9", Young Hunter 3'0", 3'3" and 3'6", High Performance Hunter, Performance Hunter 3'3" and 3'6", Green Conformation Hunter 3'6" and High Performance Conformation Hunter, standings for the National Horse of the Year Awards based on money won will be determined by dollars won in the horses respective sections. See GR1131.

### GR312 Determining Ratings

1. A division or section rating or classification is determined from the number of classes, amount of cash premiums offered in these classes and the holding of required classes in certain divisions. A competition may not offer the minimum requirements for a rating higher than the rating approved by the Federation. See Ratings Charts.

2. A competition may qualify for several different ratings. Some competitions will earn an "A" or "B" rating in all divisions and sections; others may earn a combination of ratings. If more than the minimum number of classes is offered, prize money should be increased proportionately.

3. The Paso Fino Division is rated "C" regardless of the number of classes or amount of prize money offered. A competition may apply for an "A" rating if offering a minimum of \$2,000 and prior year competition had more than 250 horses. The PFHA National show shall be an "A" rated Federation Licensed Competition as per PF152.1i. Exception: the Paso Fino Division may be offered at Local Competitions.

4. In Stake classes in "A" and "B" divisions or sections competitions must guarantee amount offered as prize money except where the monies offered in other classes are sufficient to meet the Horse of the Year Award minimum requirements. In such cases Stake classes may run as sweepstakes.

5. The Connemara and Half-bred Connemara is "C" rated, regardless of the number of classes or amount of prize money offered. Exception: the Connemara and Half-bred Connemara Division may be offered at local competitions.

6. The following are rated "C" regardless of the number of classes or amount of prize money offered: Small Hunter, Hunter Breeding, Ladies Side Saddle Hunter, Adult Amateur Hunter, Children's Hunter, USHJA Hunter 2'0", 2'3", 2'6", 2'9", 3'0" and Thoroughbred Hunter.

HUNTER SECTIONS	"A"	"A"	"B" and "C"	"B"
	Required	Min	Required	Min.
	Classes	Money	Classes	Money

#### Open Hunter Sections

Green Conformation Hunter	4-6*	500	3-4	50
High Performance Conf Hunter	4-6*	500	3-4	50
High Performance Hunter	3-5	750**	3-4	200
Performance Hunter 3'3"	3-5	400	3-4	50
Performance Hunter 3'6"	3-5	500	3-4	100
Small Hunter			3-4	100

#### Green Hunter Sections

Green Hunter 3'0"

Green Hunter 3'3"

Green Hunter 3'6" 4-6\* 500 3-4 100

Green Hunter 3'9" 4-6\* 500 3-4 100

#### Young Hunter Sections

Young Hunter 3'0"

Young Hunter 3'3"

Young Hunter 3'6"

Amateur Owner Hunter Sections

Amateur Owner Hunter 3'6" 18-35 4-6*	500	3-4	100
Amateur Owner Hunter 3'6" 36 & over 4-6*	500	3-4	100
Amateur Owner Hunter 3'3" 18-35 4-5	500	3-4	100
Amateur Owner Hunter 3'3" 36 & over 4-5	500	3-4	100

Junior Hunter Sections

Small Junior Hunter 3'6" 15 & under 4-5*	500	3-4	100
Small Junior Hunter 3'6" 16-17 4-5*	500	3-4	100
Large Junior Hunter 3'6" 15 & under 4-5*	500	3-4	100
Large Junior Hunter 3'6" 16-17 4-5*	500	3-4	100
Small Junior Hunter 3'3" 15 & under 4-5*	500	3-4	100
Small Junior Hunter 3'3" 16-17 4-5*	500	3-4	100
Large Junior Hunter 3'3" 15 & under 4-5*	500	3-4	100
Large Junior Hunter 3'3" 16-17 4-5*	500	3-4	100

Hunter & Green Hunter Pony Section

Small Pony Hunter 4-5*	400	3-4	100
Medium Pony Hunter 4-5*	400	3-4	100
Large Pony Hunter 4-5*	400	3-4	100
Green Small Pony Hunter 4-5*	250	3-4	50
Green Medium Pony Hunter 4-5*	250	3-4	50
Green Large Pony Hunter 4-5*	250	3-4	50

Children's Hunter Sections+

Children's Hunter Younger

Children's Hunter Older

Children's Hunter Pony Small/Medium

Children's Hunter Pony Large

Adult Amateur Hunter Sections+

Adult Amateur Hunter Younger

Adult Amateur Hunter Middle



Miscellaneous Hunter Sections

Ladies Side Saddle

Hunter Breeding

Small Hunter

Thoroughbred Hunter

USHJA Hunter Sections

\* "C" rated only

USHJA Hunter 2'0"	0	3-5	0
USHJA Hunter 2'3"	0	3-5	0
USHJA Hunter 2'6"	0	3-5	0
USHJA Hunter 2'9"	0	3-5	0
USHJA Hunter 3'0"	0	3-5	0

(+ Denotes sections which may have USHJA Zone Specifications that govern number of classes and prize money)

(\*See HU161.3 regarding Model Classes, and other class requirements).

\*\*At Premier competitions, minimum prize money for High Performance Hunter must be \$1500. See HU148.2 for requirements regarding minimum number of classes to award a championship.

7. All classes offered in a licensed Open Western Division shall be conducted in accordance with GR818, unless the competition has applied for and received a rating. (See ratings chart GR312.)

8. Any breed-restricted division, or combination of breed-restricted divisions, may be offered at Local Regular Competitions, in accordance with GR310.

9. IMPORTANT: See Chapter HU. There are special conditions and requirements for determining the ratings of Hunter sections. Management's attention is directed to these conditions in particular, HU119, HU161 and HU167-HU170.

Section Tables

Any class or section not meeting the requirements shown in this chart will be rated "C."

\*Western Division competitions may retain Local Competition status regardless of the amount of prize money offered.

**GR313 Special Conditions**

1. Monies offered to classes restricted to established futurity/maturity programs, local restricted jackpots, sweepstakes, or other breed specific programs offered by a recognized breed affiliate or by the National Reining Horse Association will not be included in tabulation of competition dues or in determining division ratings.

2. The following classes do not count toward the minimum number of classes nor toward the minimum prize money required for any division or section rating; these following classes do not count toward HOTY awards unless included in the specific division award rules:

a. Breeding;

b. Classes restricted as to area;

c. Classes which restrict the number of ribbons won by any rider, handler or driver, e.g., Maiden, Novice, Limit and other such rider restricted classes;

- d. Owners, except in Amateur Owner sections and Paso Fino Division;
- e. Classes that do not count toward a Hunter or Jumper Championship;
- f. Bareback, Grooms, Consolation, Races, Parades, Command, Cutting classes, and except in the Paso Fino Divisions, Costume classes, Calcutta classes;
- g. Exhibitions;
- h. Classes restricted to one breed (except in one breed divisions), type or color;
- i. Classes restricted to horse or rider (e.g. age or sex, unless complementary classes are offered for other entries). Ladies classes will count toward the rating requirement even if complementary classes for Gentlemen are not offered;
- j. Any class in which the judging specifications are not in accordance with the Federation;
- k. Opportunity classes;
- l. Academy classes;
- m. Classes held as part of a USHJA Outreach competition.

#### SUBCHAPTER 3-C COMPETITION MILEAGE

##### **GR314 Mileage**

##### 1. Determining Applicable Mileage.

- a. Mileage is applied Division by Division as defined in the Federation rule book.
- b. To determine a mile radius, the distance shall be measured using mapping software to measure the distance between the locations where the competitions are being conducted. (i.e. address of the facility where each of the competitions will be held or the longitude and latitude if an exact address does not exist), except between Long Island and the mainland. Application of this process will not adversely affect the license rights of competitions already licensed.
- c. In any instance where the shortest road mileage distance between the competition facility locations is greater than one and one half (1 1/2) times the radial mileage between the competition facility locations, the required mileage distances between competitions shall be based upon the road mileage distance rather than radial mileage.
- d. Mileage between competitions within Zones or Regions utilizing different mileage will be subjected to the higher of the mileage requirements.
- e. Long Island, N.Y. The distances between competitions held on Long Island, NY, and competitions held on the mainland shall be determined by measuring a straight line distance from the point at which Interstate Highway 278 (across the Triborough Bridge) intersects the shore of Long Island, to the location where the Long Island competition is being conducted. (i.e. address of the facility where each of the competitions will be held or the longitude and latitude if an exact address does not exist) and by measuring the mile radius from the bridge to the location where the other competition is being conducted. (i.e. address of the facility where each of the competitions will be held or the longitude and latitude if an exact address does not exist) Application of this process will not adversely affect the license rights of competitions already licensed.
- f. If the mileage between competitions is less than the applicable distance specified by this rule, conflicting dates may be approved by USEF in accordance with GR308, and providing all other requirements for recognition are met.
- g. The foregoing distance rules do not apply to events comprised exclusively of classes recognized by the FEI and the USOC. The National Championships for Dressage, and Dressage Competitions offering Federation High Performance qualifying or selection trials, or observation classes and National classes held in conjunction with a CDI are exempted from the mileage rule. When a CDI is approved on one or more days where another competition has date priority, national classes can only be held on the day of the FEI Jog and on days where at least one CDI class is held or is not held due to no entries. When CDI classes are not held on all approved CDI dates (except due to no entries) the competition might not be granted approval for those dates in subsequent years. Certain competitions held in conjunction with events also holding FEI competitions and/or selection trials for international competitions, at the discretion of the Board of Directors, may be exempted from the mileage rule.
- h. Existing competition is a licensed competition that may or may not be within the mileage indicated of the new license applicant.
- i. Proposals to change mileage rule or rules may be submitted for consideration by the Federation Board of Directors. Any such mileage rule change will have an effective date of December 1 of the following calendar year. In no event will changes to mileage become effective in less than 12 months from the date of the rule change approval. Proposals for mileage rule changes submitted by Affiliates may only be considered after they have been considered at the annual meeting of the appropriate recognized National Affiliate.

##### 2. Mileage Boundaries. Mileage consideration may be applicable to more than one mileage provision.

##### a. The below divisions will be conducted under the following mileage:

- 1. Andalusian/Lusitano: 250 miles;
- 2. Arabian: 250 miles;
- 3. Friesian: 250 miles;
- 4. Hackney: 100 miles;

5. Morgan: 100 miles;

6. National Show Horse: 50 miles;

7. Roadster: 100 miles;

8. Shetland: 100 miles;

9. American Saddlebred: 100 miles;

10. Local Regular: Any breed competition restricted to one breed or multi-breed competition including any of the above listed breeds (1-9), regardless of number of classes offered: 50 miles;

11. Western Dressage: 100 miles.

b. Mileage Boundaries for Hunter and/or Jumper Sections.

1. Hunter and/or Jumper competitions are categorized by Rating and/or Level. Additional criteria required for each Rating and/or Level in the Hunter and Jumper Mileage Charts can be found on the Federation website at [www.usef.org](http://www.usef.org) under Competitions.

2. Jumper Levels are based on the prize money offered. See JP104.

3. Hunter mileage shall be independent of the Jumper mileage and applied separately to each division of a competition.

4. The distances between Licensed Competitions held in USHJA Zones 1 & 2 (ME, NH, VT, MA, CT, RI, NJ, NY, and PA) offering hunter or jumper divisions shall be in accordance with the mileage tables (H1 & J1) shown below effective 12-01-08.

Mileage Tables

The distances between Licensed Competitions held in USHJA Zones 3 thru 10 and Canada [with the exception of Florida in the first trimester - December through March – see GR314.5] offering hunter or jumper divisions shall be in accordance with the mileage tables (H2 & J2) shown below effective 12-01-08.

Mileage Tables

5. The distances between Licensed Competitions held in Florida in the first trimester (December through March) offering hunter or jumper divisions shall be in accordance with the mileage tables (H3 & J3) shown below effective 12-01-08.

Mileage Tables

c. Mileage Boundaries for Dressage Competitions.

1. In the case of a Regular or Local Competition holding Open Dressage Division classes, the question of conflict shall be determined with reference only to those dates, inclusive, during which Open Dressage classes are to be held. See DR127.15 for a map of USDF regions.

2. Applicable Mileage:

a. A 75 mile radius shall apply to Dressage Competitions held in contiguous USDF regions, for which different distances are specified, in the case of Dressage Competitions, Regular Competitions, or Local Competitions holding "open" Dressage Division classes.

b. A 50-mile radius shall apply for Dressage Competitions in USDF Regions 1, 2, 6, & 8 holding "open" Dressage classes (excluding competitions restricted to one breed).

c. A 100-mile radius shall apply for Dressage Competitions in USDF Regions 3, 4, 5, 7 and 9 holding "open" Dressage classes (excluding competitions restricted to one breed).

3. Two or more Dressage Competitions may not be held at the same or adjacent locations on the same days.

a. Exception: Dressage Competitions that are limited to Dressage Sport Horse Breeding classes may be held at the same or adjacent location as another Dressage Competition that does not offer Dressage Sport Horse Breeding classes.

4. All dressage competitions are categorized by Level. See DR126 for criteria for each level.

d. Mileage Boundaries for Eventing Competitions.

1. Eventing competitions are not subject to the mileage boundaries.

### SUBCHAPTER 3D **MILEAGE EXEMPTION** AND COMPETITION LICENSE DISPUTES

#### **GR315 Mileage Exemption**

~~1. Federation Objective. One objective of the Federation is to provide a competition environment that is in the best interest of the sport of Equestrian and to provide for viable competitions to meet the needs of the sport at all levels within a geographic area. The Federation utilizes mileage as a method of managing the calendar and to assist in achieving an adequate base of competitors, thus enabling a competition to better meet the rules, requirements, and standards for a given rating or level. The licensing authority, licensing decisions, and calendar management rest solely with the Federation.~~

1. **General.**

**a. This section shall apply to Mileage Exemption Panels and Internal Review Panels.**

**b. All communications pertaining to mileage exemption requests must go through the Federation Competition Department staff who shall serve as the liaison between all parties to a mileage exemption request. At no time may any ex parte communications occur between panelists and any party to a mileage exemption request, including affected parties and representatives.**

**c. All Panel members shall sign a Confidentiality and No Contact Agreement prior to serving on a Panel.**

**d. Once a decision is issued by a Panel, the Panel is not permitted to reconvene and reconsider that decision unless it is under the specifications of a modification to the request per GR 315.5.**

2. Mileage Exemption. When a competition license application is denied by the Federation due to a mileage conflict, the Applicant may seek a mileage exemption to allow the applying competition to occur. The Federation will send a Mileage Exemption Request Form along with the notification of denial to the Applicant.

3. The Federation may consider different circumstances in determining whether a mileage exemption is warranted. These include, but are not limited to, the following:

a. Competition Standards: Priority Date Holder's adherence to competition standards.

1. Whether the Applicant competition may alleviate concerns about the safety and welfare of horses, competitors, and/or spectators for a given rating and/or level at a Priority Date Holder's competition;

2. Whether the Applicant competition may enable a Priority Date Holder to better achieve the competition standards for a given rating and/or level;

3. Whether the Applicant competition may alleviate overcrowding of horses and/or competitors in a given geographic area at a given venue.

b. Competition and Calendar Factors:

1. Whether the Applicant competition provides access to competitors that may need a choice based on a Priority Date Holder's costs to competitors or offered ratings and/or levels;

2. Whether the Applicant competition serves a need that the Priority Date Holder does not serve as to the schedule of classes, sections, and divisions, which may be too limited;

3. Whether the Applicant competition should be given an opportunity to enter the marketplace where a Priority Date Holder seemingly dominates the calendar in a given geographical area, which may create unilateral competition effects;

4. Whether the Applicant competition alleviates the negative impact on competitors that may occur when the number of consecutive competitions of the same rating and/or level in a given geographic area at a given venue creates unilateral competition effects.

c. Sport Growth and Visibility:

1. Whether the Applicant competition may create growth and visibility of the sport in one of the following ways, which is not exclusive:

2. The Applicant competition is warranted due to community support and/or involvement;

3. The Applicant competition may broaden access to competitors at all levels of the sport;

4. The Applicant competition is unique and provides exceptional promotional benefits to the sport.

In addition, the Federation will consider the following factors in determining whether a mileage exemption should be granted:

1. Geographic location and time of year with regard to concentration and migration of competitors;

2. Experience and expertise of competition management;
3. Competitions outside of boundary mileage of Priority Date Holder and Applicant Competition, which may affect density and competitive level of competitors;
4. Density and competitive level of competitors in a given geographic area at a given time of year.

#### 4. Mileage Exemption Procedure

- a. An Applicant must first contact the Priority Date Holder(s) and seek cooperation in running the proposed event.

1. If the Priority Date Holder(s) agree to the exemption request, then the terms and conditions of any agreement must be fully disclosed to the Federation in writing along with submission of the Mileage Exemption Response. Submission of these materials indicates that the parties agree that the Federation is not responsible for the enforcement or performance of the terms and conditions of the agreement between the competition organizer and those parties expressly waive any claim against the Federation for failure to perform.

2. If the Priority Date Holder(s) does not agree to the exemption request, then the Applicant shall submit the Mileage Exemption Request Form to the Federation in accordance with these rules.

- b. Beginning with competitions conducted in the 2017 competition year, the Applicant shall submit the Mileage Exemption Request Form, fully completed, to the Federation no earlier than 360 calendar days and no later than 240 calendar days before the start date of the proposed competition. The fully completed Mileage Exemption Request Form must be accompanied with the non-refundable application fee of \$500.00. A mileage exemption request will not be accepted unless the Mileage Exemption Request Form is fully completed and the application fee is paid in full. Within 21 days of acceptance of a properly submitted request and application fee, the Federation will notify the Applicant that their request is being processed.

- c. Within 21 days of acceptance of a properly submitted request and application fee, the Federation will notify the Priority Date Holder(s) and provide the submitted Mileage Exemption Request Form. An Applicant is not permitted to advertise or promote the proposed competition until the competition has received final approval. Non-compliance with this requirement will disqualify the applicant and will result in disapproval of the application.

- d. The Priority Date Holder(s) has 21 calendar days from the date of the Notification letter and the Mileage Exemption Request Form to submit to the Federation a fully completed Mileage Exemption Response Form. The Priority Date Holder(s) must provide written comments justifying the denial of the mileage exemption request given the considerations in paragraph 3 above.

- e. Within 15 calendar days of receipt of a completed Mileage Exemption Response Form and the terms and conditions of an agreement, if such agreement was reached between the affected parties, the request will be reviewed by the Federation Internal Review Panel, which shall determine whether it is in the best interest of the sport to either deny or grant the approval and under what terms and conditions such approval shall be given. The Federation Internal Review Panel consists of the Federation Chief Executive Officer or his designee; the Director of Competitions; and a staff member from the relevant breed or discipline chosen by the Sport Director.

- f. Within 15 calendar days of receipt of a completed Mileage Exemption Response Form from Priority Date Holder(s) objecting to the request, or the expiration of the 21 day response period if no completed Mileage Exemption Response Form is submitted, the Federation will notify the applicable Recognized Breed/Discipline Affiliate and provide all documentation received in the process from any party. The applicable Recognized Breed/Discipline Affiliate has 30 calendar days from notification from the Federation to submit to the Federation Competitions Department, a recommendation to approve or disapprove the exemption request accompanied by a detailed explanation based on the considerations enumerated in paragraph 3 above. ***If the Federation learns that an individual with a conflict of interest was present when an Affiliate considers its recommendation under this Chapter, then such recommendation will be not be considered by the Federation panel.***

- g. Within 15 calendar days of receipt of written comments from the Recognized Affiliate, a Federation Internal Review Panel shall convene to review the recommendation of the Affiliate in light of all materials submitted and the considerations enumerated in paragraph 3 above. If this Panel agrees with the Recognized Affiliate's recommendation, then a decision letter will be issued to the affected parties accordingly. If this Panel disagrees with the Recognized Affiliate recommendation, or identifies further consideration that should be given, the Federation President has 30 days to appoint a Mileage Exemption Panel to review the request. If for any reason the President is unable to appoint this Panel, the Vice-President, if available, or the Secretary/Treasurer, if the Vice-President is unable, shall appoint the Panel.

- h. The Federation Mileage Exemption Panel consists of the Federation Chief Executive Officer or his designee; a Senior Active Member with competition management experience; and a Senior Active Member who participated in the determination of the Affiliate. Together this Panel shall consider all materials received from all parties related to the request, the recommendation of the Recognized Affiliate, the comments of the Internal Review Panel, and the considerations in paragraph 3 above. Upon completion of its review, the Federation Mileage Exemption Panel shall determine whether it is in the best interest of the sport to either deny or grant the approval and under what terms and conditions such approval shall be given.

- i. The identity of the Federation Mileage Exemption Panel members must be provided to the Applicant and Priority Date Holder(s). These parties have 5 days to submit a written objection to any Panel member. Objections must specifically articulate the basis of the objection and how the appointee cannot render a fair and unbiased decision. Objections will be evaluated and a determination will be made whether a replacement is warranted. Failure to timely object is deemed acceptance of the appointed panelists. ~~At no time may the Applicant or the Priority Date Holder(s) contact any Panel member, directly or indirectly, concerning the request. Contacting a Panel member in any way may result in the imposition of penalties and/or an adverse decision of the request.~~

- j. The Federation, through the Federation Internal Review and Federation Mileage Exemption Panels, shall have final decision-making authority on all exemption requests and will not delegate this responsibility. The Panel's decision will be provided to the Applicant, Priority Date Holder(s), and the Recognized Affiliate ***within seven calendar days of the decision, excluding national holidays***. The Panel's decision is provisional until such time that any dispute process under these rules has been exhausted. An Applicant shall not advertise or promote the proposed competition unless and until the competition has received final approval. Non-compliance with this requirement may result in withdrawal of the application.

5. Modifications or amendments to an approved exemption are not permitted unless approved by the Federation in writing. A modification to an approved Request for Mileage Exemption must be approved in writing by the Federation after review by the original Mileage Exemption Panel. If the original Mileage Exemption Panel is unable to convene, modification requests shall be reviewed by the Federation Internal Review Panel along with a representative appointed by the relevant breed/discipline affiliate. Modifications to an approved Request for Mileage Exemption must be received by the Federation at least ~~420~~ **60** days prior to the start of competition. Competitions that would be impacted by the requested modification shall have the opportunity to submit written comments to the Federation prior to a determination. Comments must be received from the competitions impacted within 10 calendar days of receiving notification of the requested modification. The Federation decision will be rendered within 30 days from the date the modification request is received in the Federation office.

6. Mileage Exemptions are granted for one year only. Approval in one year does not guarantee future approval of a mileage exemption request.

7. All Licensed Competitions operating under an approved mileage exemption shall have the applicable mileage protection against new competitions. **Additionally, these competitions will have the applicable mileage protection for the following years comparable dates, provided that the license application and applicable fees are received within 60 calendar days of the last day of the current year's competition.** This does not preclude the Federation from granting additional mileage exemption requests for new competitions to be held within any mileage boundary.

**8. Competitions with an approved mileage exemption which have been held for two or more consecutive years may apply for designation as a perpetual mileage exemption. If approved, the competition shall apply annually for renewal under GR 302.3. To be eligible for this designation, the competition must indicate this request on the application and pay the requisite mileage exemption fee. Any conditions associated with the approved mileage exemption shall remain in place. Upon receiving this designation, any changes to location, rating and/or level will result in loss of this designation and the competition will be required to apply for a mileage exemption. Designated perpetual mileage exemption competitions shall have the applicable mileage protection against new competitions unless the license cannot be granted. This does not preclude the Federation from granting additional mileage exemption requests for new competitions to be held within any mileage boundary. The Federation may revoke this designation at any time.**

### GR 316 License Application Disputes and Resolution

1. Any competition license applicant may dispute the denial of a license application or renewal. In addition, a Mileage Exemption Request applicant and Priority Date Holder(s) may dispute a mileage exemption request decision. The initiation of a license dispute must be made in writing and received by the Federation within 10 calendar days of the date on the Federation notice of the approval or denial and must be accompanied by a fee of \$1,000.00. If the appealing party prevails, \$500.00 of the fee shall be refunded.

2. License disputes will be decided by the Federation Hearing Committee in accordance with the Federation rules and procedures. The Hearing Committee shall only determine if **the denial of a new or renewal license application or** the mileage exemption process was conducted in accordance with the rules. The Hearing Committee shall provide the parties with the opportunity to be heard pursuant to written submissions and shall issue a written decision within 30 calendar days following receipt of the initiation of dispute and response(s) from affected parties. If the Hearing Committee finds a procedural defect, the exemption request will go back through the process beginning at the point where the defect occurred.

3. The Hearing Committee's decision is final and not appealable.

#### Proponent Details

Competitions Task Force

#### Contact Information

Bill Moroney

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#### Rule Change Intent

As currently written Chapter 3, poses several procedural issues. This rule change has been submitted with the purpose of addressing these issues, as well as to clean up the chapter further. This proposal intends to clarify license approval and denial language; remove the 2-year limit on mileage exemption requests; clarify the ability for an existing competitions to be advertised prior to renewal; amend the license cancellation section to prevent blocking of the competition calendar by canceling and reapplying for competitions; clarify how conflict and panel substitutions will be handled during the mileage exemption process; add a notification timeline for final mileage exemption decisions; amend language to provide mileage protection during the renewal period of previously approved mileage exemption requests; amend language to provide the ability for USEF to provide calendar dates to multi-year mileage exemptions using a renewal process; and clarify Hearing Committee review language.

#### Linked Rules

Web: Chapter 3

#### Comments

#### Committee Actions

##### Arabian

Draft 1: Recommends Approval 10/30/2018  
Draft 2: No Action  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: No Comments  
Draft 3: No Comments

##### Competition Management

Draft 1: No Action 11/08/2018  
Draft 2: Recommends Disapproval 12/14/2018  
Draft 3: No Action

Draft 1: No Comments  
Draft 2: In GR315.8 - 2 years is not enough time to determine if a competition should have perpetual exemption. This version of the rule provides the CEO too much single authority and although the current CEO may have knowledge to make these decisions, a future CEO may not have the knowledge.  
Draft 3: No Comments

Council - Admin & Finance

**Draft 1:** No Action  
**Draft 2:** Recommends Approval 12/18/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Council - Intl Discipline

**Draft 1:** No Action  
**Draft 2:** Recommends Approval 12/20/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** Majority approved. Diane Carney opposed with the following comment "USHJA feels this provides CEO with too much single authority over license application/renewal process. It should be an unbiased panel that reviews applications/renewals.  
**Draft 3:** No Comments

Council - Natl Breed & Discipline

**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 12/18/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** Concern was raised about the authority this rule change gives the CEO, as well as the rights of the priority date holders.  
**Draft 3:** No Comments

Friesian

**Draft 1:** Recommends Approval 10/25/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Jumper

**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 12/17/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** JSC has concern about creating perpetual category 315.8. Shows need to continually strive to do better when they know they have to reapply. Maybe offer protection for multiple years at a time but not perpetual. There is also concern over the CEO having so much power, perhaps change to a panel in 302.1.b.  
**Draft 3:** No Comments

Morgan

**Draft 1:** Recommends Approval 10/25/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

National Hunter Committee

**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 12/17/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** The committee has concerns that giving the CEO authority over licenses is giving one person too much power. Additionally, concerns were expressed with the length of time required for perpetual licensing and advertising of competitions prior to approval.  
**Draft 3:** No Comments

USHJA

**Draft 1:** Recommends Disapproval 12/13/2018  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** o Provides CEO with too much single authority over license application/renewal process. It should be an unbiased panel that reviews applications/renewals o A competition should not be allowed to advertise prior to obtaining an approved license o Perpetual licensing is problematic. The rule, as written essentially creates a new license  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	4/1/2019	10/15/2018	Approved 1/12/2019

## Proposed Change

**GR612 Review of Decisions** [CHAPTER 6-D Post Hearing Procedures]

## GR610 Proceedings Before a Show Committee

## 3. Determination:

f. A party to a protest or charge desiring to appeal a decision of the Show Committee or Competition Management to the Hearing Committee shall file an appeal in writing with the Hearing Committee at the Federation's office **in accordance with GR612.2** ~~within thirty (30) days of the initial decision. The Hearing Committee will not review findings of fact, but will determine whether the rules were properly interpreted and applied.~~

## GR204 Affiliated Associations and Associated Youth Organizations

## 1. Recognized Affiliate Associations

Recognized Affiliate Associations consist of corporations, organizations, and associations in good standing that have been approved by the Board of Directors of the Federation. Recognized Affiliate Associations shall comply with and be bound by these bylaws and the Rules of the Federation and decisions of the Federation including those of the Hearing Committee, and must pay annual fees and/or dues as determined by the Federation. Recognized Affiliate categories shall include:

b. National Associations where the national breed or discipline has competition rules which have been approved by the Board of Directors of the Federation for inclusion in the Federation's Rule Book. The Federation may only recognize one national breed/discipline association for each breed or discipline with competition rules in the Rule Book. If a Recognized National Affiliate Association ceases to affiliate with the Federation, the Board of Directors may in its discretion replace the organization that has seceded or been removed for cause with another association involving the same breed or discipline or the Board of Directors may replace the organization with an appropriate Federation Breed or Discipline Committee. Such Committee shall be deemed a Recognized National Affiliate Association for purposes of Bylaw 303. Recognized National Affiliate Association requirements are established by the Board of Directors from time to time. **A party wishing to challenge decisions concerning Appeals involving the recognition of any association or committee must follow the procedures set forth in Chapter 6 of the Federation rules.** ~~must be made in writing to the National Office within 30 days of the announcement. Appeals will be heard by the Hearing Committee in the same manner as date disputes. The decision of the Hearing Committee shall be final and shall be deemed to have the force and effect of a ruling in arbitration.~~ Recognized National Affiliate Associations are sometimes referred to as "National Affiliates."

## GR303 Competition Fees, Account Review, and Insurance

## 3. Any Licensee who fails to pay sums owed to the Federation, or who makes payment for fees to the Federation

which is not negotiable, will be notified by the Federation of its indebtedness and subject to the applicable policies of the Federation. The Federation will provide Licensees with online access to review their entire competition accounts.

a. If any Licensee affected by GR303.3 disputes that the amounts in question are owed or unpaid, the Licensee may request to have **a procedural review by the Co-Chairs of the matter reviewed** ~~the matter reviewed~~ Hearing Committee **or their designees**, provided his or her written statement specifying the grounds for such review is received at the Federation's office, along with a fee, in accordance with the applicable policies. The fee will be refunded if the dispute is settled in favor of the Licensee.

b. In the event a Licensee makes non-negotiable payment for fees to the Federation on three or more occasions, said Licensee is subject to further disciplinary action.

6. A certificate of insurance for each competition must be received by the Federation office at least 14 calendar days prior to the competition by mail with proof of delivery or submitted electronically via e-mail, or via fax. Competitions faxing their certificate of insurance to the Federation must retain a copy of the fax confirmation. If the certificate is not received 14 days prior to the competition, insurance coverage will be acquired and the competition invoiced. Each certificate must name the Federation as additional insured for each day of the competition, including set-up and takedown days, with minimum limits of \$1,000,000 third party general liability insurance and \$50,000 on equipment and property. Competitions failing to provide proof of such coverage (or such coverage to the extent permitted by local law) will automatically be enrolled in the group policy for competitions and will then be invoiced the then prevailing premium for such insurance. Competitions outside the United States must provide evidence of equivalent coverage of such insurance and will not be enrolled in the group policy.

a. Competitions must notify the Federation in writing of cancellation of their insurance policies by their insurance provider.

b. A fine will be imposed on any competition in the United States for which evidence of adequate insurance is not received at least two weeks prior to the competition. The fine is in addition to the amount of the invoice for the automatic enrollment in the group policy. A competition disputing that the invoice and/or



the fine is properly owing may **request a procedural review** appeal in writing to the Federation within 30 calendar days of management's receipt of the Federation's notice of billing and/or fine, specifying the grounds ~~therefor the appeal~~. The **Co-Chairs of the Hearing Committee or their designees** Federation's CEO or his designee, a special committee appointed by the president or the Hearing Committee will consider the appeal and may waive part or all of the billing and/or fine upon a finding of good cause why the evidence of insurance was not filed timely and/or a finding that extreme hardship results from the automatic penalty.

#### GR 316 License Application Disputes and Resolution

2. License disputes will be decided by the Federation Hearing Committee in accordance with the Federation rules and procedures. **The Hearing Committee may review the decision based upon the record below, in which case the parties may be represented by counsel and may file briefs for the Hearing Committee's review. Or, in its discretion, the Hearing Committee may hold a new hearing at which the parties shall have the right to make written submissions, to be represented by counsel, to appear in person, and to present or cross-examine witnesses. In either case, the Hearing Committee shall only determine if the mileage exemption process was conducted in accordance with the rules. The Hearing Committee shall provide the parties with the opportunity to be heard pursuant to written submissions and shall issue a written decision within 30 calendar days following receipt of the initiation of dispute and response(s) from affected parties. If the Hearing Committee finds a procedural defect, the exemption request will go back through the process beginning at the point where the defect occurred. In the event the Hearing Committee decides to remand the issue, the exemption request would be considered through the normal process.**

3. The Hearing Committee's decision is final and not appealable **within the Federation**.

#### GR612 Review of Decisions

2. Appeal of **proceedings before a Show Committee** decisions made by other than the Hearing Committee

a. When the ~~presiding person, persons, Show Committee or Competition Management other than the Hearing Committee~~ makes an initial decision **pursuant to GR610**, that decision then becomes the decision of the Federation without further proceedings, unless there is a written appeal to the Hearing Committee for review by a party to the proceeding or by the Federation, which must be received within thirty (30) days from the issuance of the ruling being reviewed. ~~On appeal from the initial decision, the Hearing Committee will not review findings of fact, but will determine whether the rules were properly interpreted and applied.~~

#### 3. **Procedural Review**

**a. When a representative or committee of the Federation makes or recommends a determination that addresses an application or other request of a member, or makes a determination of any fine, fee or other penalty under Federation rules, the aggrieved member may request a procedural review by the Co-Chairs of the Hearing Committee or their designees, provided that the request is made in writing to the Federation within 30 days of the determination, specifying the grounds for the procedural review.**

#### GR844 Poling

4. Violators will be automatically penalized by elimination of the horse involved from the class in which it is participating and the next succeeding class in which it is entered. If the violation occurs after the horse has completed its performance in a class or between classes, it will be eliminated from the next two succeeding classes in which it is entered. All such violations must be recorded in the Steward's Report and, if cruelty or abusive behavior is **alleged** evident, it will be reviewed by the **Co-Chairs of the Hearing Committee or their designees** for such action as may be deemed appropriate to the particular circumstances.

#### GR913 Unpaid Entries

2. If the person disputes that the amounts in question are owing or unpaid, he or she may request a review of these issues before the **Co-Chairs of the Hearing Committee or their designees**, provided a written statement specifying the grounds for a **procedural** review, accompanied by a fee of \$100 (which will be refunded if the dispute is settled in favor of said person) is received at the Federation's office within said 30 day period. The **Co-Chairs of the Hearing Committee or their designees** are the only **entity body** that can waive the fines

assessed as the result of this rule.

#### GR1003 Review

3. Following an adverse decision by the Licensed Officials Committee with regard to a non-renewal or revocation only, an applicant may request the Hearing Committee to review the decision or to consider a hearing de novo, provided such application is made in writing within 20 days of receipt of the Licensed Officials Committee decision, and provided a nonrefundable fee of \$500 payable to the Federation accompanies the application. The Hearing Committee may review the decision **based upon the record below, in which case the parties may be represented by counsel and may file briefs for the Hearing Committee's review. Or, in its discretion, the Hearing Committee may hold a new hearing at which the parties shall have the right to make written submissions, to be represented by counsel, to appear in person, and to present or cross-examine witnesses.** In either case, both the applicant and the Licensed Officials Committee shall be parties to the proceeding and shall have the right to make written submissions, be represented by counsel, and in the event of a hearing, to appear in person and present or cross-examine witnesses.

#### GR1009 Special Judge

6. Application must be received in the Federation office at least 14 days prior to the start of the competition. In cases where special applications are received prior to the competition, but less than 14 days prior, the competition must pay a processing fee of \$50. If management disputes that the application was not timely filed or that the above fine is not properly owing, it may **request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made** appeal in writing to the Federation within 30 days of management's receipt of the Federation's notice of fine, specifying the grounds for the **procedural review** appeal. The Federation's CEO or his designee, a special committee appointed by the President or the Hearing Committee will consider the appeal. **The Co-Chairs of the Hearing Committee or their designees** and may waive a part or all of the fee upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.

#### GR1010 Guest Cards

3. The application for a Guest Card must be received in the Federation office at least 21 days prior to the start of the competition. In cases where the Guest Card application is received prior to the competition, but less than 21 days prior, the competition must pay a processing fee of \$50. If management disputes that the application was not timely filed or that the above fee is not properly owing, it may **request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made** appeal in writing to the Federation within 30 days of management's receipt of the Federation's notice of fine, specifying the grounds for the appeal. **The Co-Chairs of the Hearing Committee or their designees** Federation's CEO or his designee, a special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fee upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the fee.

#### GR1015 Special Steward

1. A Special Category 1 steward is an individual already enrolled as a Recorded 'r' Category 1 steward, to whom

the Licensed Officials Department has granted permission to officiate as a Registered 'R' steward at a National

f. If management disputes that the application was not timely filed or that the above fine is not properly owing, it may **request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made** appeal in writing to the Federation within 30 days of management's receipt of the Federation's notice of fine, specifying the grounds for the appeal. **The Co-Chairs of the Hearing Committee or their designees** Federation's CEO or his designee, a special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fine upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the automatic penalty.

g. It is the responsibility of competition management to assure the eligibility of all stewards.

#### GR1119 Disputes

2. Any participant wishing to dispute a tabulation or qualification or disqualification must appeal the issue in writing specifying the reasons for disputing the tabulation or qualification or disqualification (the "Dispute"). Said Dispute must be received by the Federation by December 15 (should the 15th fall on a weekend, the deadline will be set for the following Monday) following the competition year in question, except for the Paso Fino Division for which the deadline is September 15. A Dispute must be accompanied by the required fee which is not refundable. Disputes will be referred to the CEO or his designee for a ruling in the first instance, who will determine if the information which is provided in the Dispute is sufficient to alter the tabulation or qualification or disqualification. If the participant is dissatisfied with the CEO's or his designee's ruling, the participant may **request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made in writing and** submit a written request for an appeal. An appeal must be accompanied by the required fee, which will be refunded if the appeal is upheld. Appeals will be referred to the Hearing Committee or a special committee appointed by the President, whose decision is final.

#### GR1212 Prize Lists

3. If management disputes that the prize list was not timely filed or that the above fine is not properly owing, it may **request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made** appeal in writing to the Federation within 30 days of management's receipt of the Federation's notice of fine, specifying the grounds for the appeal. **The Co-Chairs of the Hearing Committee or their designees** Federation's CEO or his designee, a special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fine upon a finding of good cause why the prize list was not timely filed and/or a finding that extreme hardship results from the automatic penalty. See Chapter 9 for requirements regarding prize lists.

#### GR1214 Results

5. If management disputes that the results were not timely filed or that the above fine is not properly owing, it may **request a procedural review of these issues before the Co-Chairs of the Hearing Committee or their designees, provided that the request is made** appeal in writing to the Federation within 30 days of management's receipt of the Federation's notice of fine, specifying the grounds for the appeal. **The Co-Chairs of the Hearing Committee or their designees** Federation's CEO or his designee, a special committee appointed by the President or the Hearing Committee will consider the appeal and may waive a part or all of the fine upon a finding of good cause why the application was not timely filed and/or a finding that extreme hardship results from the automatic penalty.

#### GR1301 General

4. Any member or non-member who fails to pay sums owing to the Federation or who makes payment for fees to the Federation which is not negotiable will be notified by the Federation of his or her indebtedness and warned that unless settlement is made within two weeks he or she will automatically be fined the sum of \$250 to be paid to the Federation; and further, that he or she and any horses owned by him or her and any horses and/or persons for which payment has not been made or for which the non-negotiable sums have been paid will automatically be barred from taking any part whatsoever in Licensed Competitions until payment or settlement is made of the total indebtedness to the Federation. Publication of the suspension will be published on the Federation's web site.

a. If any individual affected by GR1301.4 disputes that the amounts in question are owed or unpaid, he or she may request a **procedural** review of these issues **by before the Co-Chairs of the Hearing Committee or their designees**, provided his or her written statement specifying the grounds for the review is received at the Federation's office within said two week period accompanied by a fee of \$100, which will be refunded if the dispute is settled in favor of said person.

EV118.7 Inquiries, Protests and Appeals

7. A party to a protest or charge desiring to appeal a decision of the Appeal Committee to the Hearing Committee must file an appeal in writing with the Hearing Committee at the Federation's office within fifteen (15) days of the initial decision. ~~The Hearing Committee will not review the findings but will determine whether the rules were properly interpreted and applied.~~

Proponent Details	Contact Information
Hearing Committee	Emily Pratt epratt@usef.org

**Rule Change Intent**  
 Members have raised questions and sought clarification regarding the various review and appeal rights under the rules. In an effort to ensure uniformity and clarity in all references, this rule change proposes the more appropriate designation of "procedural review" in instances where the Hearing Committee is simply ensuring all procedural requirements, such as timeliness, were appropriately followed and rules were applied.

Linked Rules	Comments
	<b>Web:</b> review of decisions

Committee Actions	
<b>American Saddlebred</b>	
<b>Draft 2:</b> Recommends Approval 11/14/2018	<b>Draft 2: No Comments</b>
<b>Andalusian/Lusitano</b>	
<b>Draft 1:</b> No Action <b>Draft 2:</b> Recommends Approval 10/29/2018	<b>Draft 1: No Comments</b> <b>Draft 2: No Comments</b>
<b>Arabian</b>	
<b>Draft 2:</b> Recommends Approval 10/30/2018	<b>Draft 2: No Comments</b>
<b>Carriage Pleasure Driving</b>	
<b>Draft 2:</b> Recommends Approval 11/12/2018	<b>Draft 2: No Comments</b>
<b>Connemara</b>	
<b>Draft 2:</b> Recommends Approval 11/08/2018	<b>Draft 2: No Comments</b>
<b>Council - Admin &amp; Finance</b>	
<b>Draft 1:</b> No Action <b>Draft 2:</b> Recommends Approval 12/18/2018	<b>Draft 1: No Comments</b> <b>Draft 2: No Comments</b>
<b>Council - Intl Discipline</b>	
<b>Draft 1:</b> No Action <b>Draft 2:</b> Recommends Approval 12/20/2018	<b>Draft 1: No Comments</b> <b>Draft 2: No Comments</b>
<b>Council - Natl Breed &amp; Discipline</b>	
<b>Draft 1:</b> No Action <b>Draft 2:</b> Recommends Approval 12/18/2018	<b>Draft 1: No Comments</b> <b>Draft 2: No Comments</b>
<b>Friesian</b>	
<b>Draft 2:</b> Recommends Approval 10/25/2018	<b>Draft 2: No Comments</b>

Hackney

**Draft 2:** Recommends Approval 10/31/2018

**Draft 2:** Recommends Approval

Licensed Officials

**Draft 1:** No Action

**Draft 2:** Recommends Approval 11/12/2018

**Draft 1:** No Comments

**Draft 2:** No Comments

Morgan

**Draft 2:** Recommends Approval 10/25/2018

**Draft 2:** No Comments

National Hunter Committee

**Draft 1:** No Action

**Draft 2:** Recommends Approval 10/22/2018

**Draft 1:** No Comments

**Draft 2:** No Comments

National Show Horse

**Draft 2:** Recommends Approval 11/02/2018

**Draft 2:** No Comments

Paso Fino

**Draft 1:** No Action

**Draft 2:** Recommends Approval 10/30/2018

**Draft 1:** No Comments

**Draft 2:** No Comments

Saddle Seat Eq

**Draft 2:** Recommends Approval 11/13/2018

**Draft 2:** No Comments

Shetland

**Draft 1:** No Action

**Draft 2:** Recommends Approval 10/23/2018

**Draft 1:** No Comments

**Draft 2:** No Comments

USHJA

**Draft 1:** No Action

**Draft 2:** Recommends Approval 12/13/2018

**Draft 1:** No Comments

**Draft 2:** No Comments

Welsh

**Draft 2:** Recommends Approval 11/08/2018

**Draft 2:** No Comments

Western Committee

**Draft 2:** Recommends Approval 11/06/2018

**Draft 2:** No Comments

Western Dressage

**Draft 2:** Recommends Approval 10/30/2018

**Draft 2:** No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	1/14/2019	Approved 1/12/2019

Proposed Change

**GR1214 Results** [CHAPTER SUBCHAPTER 12-D DUTIES CONCERNING COMPETITION RECORDS]

**GR1214 Results**

1. All competition results and other data as specified by USEF in the license agreement ~~must~~ **may** be electronically transmitted to USEF within 10 days following a Licensed competition including all corrections, changes and additions to the prize list. All results must meet the criteria and format of the Federation result template **with all required fields** as published by USEF and must be submitted as outlined in the license agreement. A fee ~~will~~ **may** be assessed for any required data not received electronically. Full results ~~include but are not limited to~~ **are defined as** the names and Federation numbers of all horses, riders and owners in all classes, **the number of entries, all placings, and money paid out**. In the event of an entry under multiple ownership, only one owner need be a Member or pay a Show Pass fee. The competition is responsible for listing either the active member or the person that paid the Show Pass fee in the results. (Exception GR901.9).

1. **Hunter, Jumper and Equitation**

1. ~~For Results for all non-breed competitions restricted to Hunter, Jumper, and Equitation C~~classes ~~over fences~~ offering \$500 or more in prize money (including add back) the competition must submit the following in addition to the above: ~~the number of horses competing in the class, the level under which the class was conducted, also include the fence height for each exhibitor, the identification/recording number for each horse in the class, and the placings with the money paid out to each placed entry.~~
2. For Young Jumper classes the first round fault scores for each entry must also be included.
3. ~~For classes with \$25,000 or more in prize money a copy of the starting order (showing the rider) and judges card(s) are required.~~
4. At Jumper Rating 4 or higher competitions, results must include faults of each round in jumper classes held at 1.40m or above.
5. Results must include faults from each round of Pony Jumper classes.
6. Results in Hunter Competitions in over fences classes must record the following information, if applicable: (i) Did Not Compete and (ii) Did Not Place. See HU132.9.

2. **Dressage**

1. Results for Dressage Competitions and Regular or Local competitions holding open Dressage or Dressage Sport Horse Breeding classes must include the Federation and USDF membership and identification/recording numbers for all owners, riders, handlers and horses for whom this information is required for the competition. This material is needed in order to include the winnings of exhibitors in the Federation's permanent records.

2. The secretary of each Federation Licensed Competition must, within 10 calendar days of the competition, send to the Federation, either electronically or by mail with proof of delivery, a list of judges, stewards, technical delegates and competition officials, as well as **full results with all required fields**. ~~the names and addresses of all ribbon winners, the amount of money won if applicable, and number of entries in the Federation Medal classes and USEF/USDF dressage qualifying and championship classes, the first place winners of all other Equitation classes.~~

3. ~~Competitions offering Medal classes must make a complete report to the Federation in order that Federation memberships can be checked and credit given winners. Names and addresses of all ribbon winners and the numbers of entries in a class must be included.~~

Proponent Details	Contact Information
USEF Officers	Bill Moroney bmoroney@usef.org

Rule Change Intent

As currently written, GR1214 is causing confusion among the USEF Membership. This rule change is submitted in an effort to reorganize the layout of the rule so as to minimize the redundancy of the paragraph. Additionally, this rule change will add the requirement of reporting fence heights for each exhibitor for all non-breed restricted Hunter, Jumper, and Equitation classes.

Linked Rules	Comments
<b>Web: results</b>	
<b>Committee Actions</b>	
<b>Competition Management</b>	
<b>Draft 1:</b> Recommends Approval 11/08/2018 <b>Draft 2:</b> No Action	<b>Draft 1:</b> No Comments <b>Draft 2:</b> No Comments
<b>Council - Admin &amp; Finance</b>	
<b>Draft 1:</b> Recommends Approval 12/18/2018 <b>Draft 2:</b> No Action	<b>Draft 1:</b> No Comments <b>Draft 2:</b> No Comments
<b>Council - Intl Discipline</b>	
<b>Draft 1:</b> Recommends Approval 12/20/2018 <b>Draft 2:</b> No Action	<b>Draft 1:</b> No Comments <b>Draft 2:</b> No Comments
<b>Council - Natl Breed &amp; Discipline</b>	
<b>Draft 1:</b> Recommends Disapproval 12/18/2018 <b>Draft 2:</b> No Action	<b>Draft 1:</b> Concern was raised that the word "competitions" should be added to the language in GR1214.1 as follows: Results for all non-breed competitions restricted to Hunter, Jumper, and Equitation classes over fences <b>Draft 2:</b> No Comments
<b>Dressage</b>	
<b>Draft 1:</b> Recommends Approval 11/29/2018 <b>Draft 2:</b> No Action	<b>Draft 1:</b> No Comments <b>Draft 2:</b> No Comments
<b>Jumper</b>	
<b>Draft 1:</b> Recommends Approval 11/13/2018 <b>Draft 2:</b> No Action	<b>Draft 1:</b> No Comments <b>Draft 2:</b> No Comments
<b>National Hunter Committee</b>	
<b>Draft 1:</b> Recommends Approval 10/22/2018 <b>Draft 2:</b> No Action	<b>Draft 1:</b> No Comments <b>Draft 2:</b> No Comments
<b>USDF</b>	
<b>Draft 1:</b> No Action <b>Draft 2:</b> No Action	<b>Draft 1:</b> No Comments <b>Draft 2:</b> No Comments
<b>USHJA</b>	
<b>Draft 1:</b> Recommends Disapproval 12/13/2018 <b>Draft 2:</b> No Action	<b>Draft 1:</b> USHJA would approve if the word "competitions" was added to GR1214.1.1: "Results for all non-breed competitions restricted to Hunter, Jumper, and Equitation classes over fences..." <b>Draft 2:</b> No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	9/5/2018	Approved 1/12/2019

## Proposed Change

**GR 202 Membership Req. / GR 205 Participation / GR 901 Requirements / GR 1213 Entries / GR 1308 Membership..** [CHAPTER 2-C Non-Members / 12-D Duties... / 9-A Prize Lists / 13-A Responsibilities / 13- C Membership]

## GR 202 Membership Requirements

1. To be eligible to participate as a rider, driver, handler, vaulter, longeur, owner, lessee, agent, coach or trainer at Regular Competitions, Eventing Competitions at the Preliminary Level or above, Dressage Competitions, Combined Driving Competitions ~~at the Advanced Level~~, Endurance Rides, Reining Competitions, ParaEquestrian Competitions, and Vaulting Competitions, persons must be Members of the Federation as provided in Bylaw 201. No organization, other than the USEF and its Recognized Breed and Discipline Affiliates, may require mandatory membership or a non-member fee as a condition of participation in a USEF Licensed Competition. (See GR1210.13 for further information regarding the collection of mandatory participation fees at USEF licensed competitions).

....

## GR205 Participation

A non-member, who wishes to participate as a rider, driver, handler, vaulter, longeur, owner, lessee, agent, coach or trainer at Regular Competitions, Eventing Competitions at the Preliminary Level or above, Dressage Competitions, Endurance Rides, Reining Competitions, Vaulting Competitions, and Combined Driving Competitions ~~at the Advanced Level~~, must pay a Show Pass fee for each competition in which competing. Lessees are considered owners in connection with this membership requirement. In the event of an entry under multiple ownership, only one owner need be a Member or pay a Show Pass fee. The competition is responsible for listing either the active member or the owner that paid the Show Pass fee in the results. The competitions will be responsible for forwarding copies of all Show Pass forms completed at the competition to the Federation with the post competition report. Payment of Show Pass fees for purposes of competing does not entitle the individual to any other privileges of USEF membership.

...

## GR 901 Requirements

...

9. The following statement must be published in BOLD TYPE for all Regular Competitions; Eventing Competitions at the Preliminary Level or above, Combined Driving Competitions ~~at the Advanced Level~~, Dressage Competitions, Endurance Rides and Vaulting Competitions: (See GR828.4 of the Federation rules).

Life, senior active and junior active members shall be eligible to participate in all classes at Regular Competitions, Eventing Competitions at the Preliminary Level or above and Combined Driving Competitions ~~at the Advanced Level~~, Dressage, Reining and Vaulting Competitions and Endurance Rides. A non-member may participate as a handler, rider, driver, owner, lessee, agent, coach or trainer at Regular Competitions, Eventing Competitions, Dressage Competitions, Reining Competitions and Combined Driving Competitions upon payment of a \$45 Show Pass fee. Participants in the following classes are exempted from the Requirements of this rule: 1) headline; 2) exhibitions; 3) games and races; 4) classes for 4-H members; 5) walk trot (exception: Friesian performance FR218) and academy classes (academy classes are classes limited to horses used regularly in a lesson program); 6) USDF introductory level tests, pas de deux and quadrille classes; 7) NRHA Endorsed Reining Competitions. 8) Opportunity classes, 9) citizens of other nations who have proof, in English, of current membership in good standing of their own National Federation, 10) USEA beginner novice division; and 11) assistant handlers in Dressage Sport Horse Breeding classes. Effective 12/1/17

...

## GR 1213 Entries

- The name of any exhibitor whose fees are not negotiable must be reported in writing to the Federation within 90 days of the close of the competition. (See GR913).
- If there is a closing date, the competition must acknowledge all entries made by that date.
- Ensure that no entry will compete until or unless the exhibitor and the rider, driver, or handler and trainer, or agent(s) of such person(s), have signed an entry blank, including all post entries. In the case of a rider, driver or handler under 18, his/her parent or guardian, or if not available, the trainer, must sign an entry blank on the minor's behalf.
- Have available applications for amateur status. If a rider or driver in amateur classes does not possess current amateur certification, the secretary must require the individual to complete the necessary application (see GR1307).
- Collect and remit promptly to the Federation and its applicable Recognized Affiliate Associations as defined under Bylaw 222, Section 1 (1) and 1 (2), the Show Pass fee, if applicable, as provided in GR205 and GR206 for each rider, driver, handler, owner, lessee, agent and trainer who is a non-member and participates in any Regular Competition, Eventing Competition at the Preliminary Level or above, Dressage Competitions, Combined Driving Competitions ~~at the Advanced Level or above~~, Endurance and Vaulting Competitions who has not produced a valid Federation membership card or copy thereof. Lessees are considered owners in connection with this membership requirement. In the event of an entry under multiple ownership, where no owner is a Member, only one owner need pay a Show Pass fee.

...

## GR1308 Membership and Document Requirements

1. To be eligible to participate as a rider, driver, owner, handler, vaulter, longeur, lessee, agent, coach or trainer at Regular Competitions, Eventing Competitions at the Preliminary Level or above, Combined Driving Competitions ~~at the Advanced Level~~, Dressage, Endurance and Vaulting Competitions, persons must be Members of the Federation as provided in GR202 or if not a member, must pay a Show Pass fee as provided in GR206 (for exceptions see GR901.9). Exception: Applications for Federation Individual Membership and Horse Recordings submitted at Licensed Competitions:

## Proponent Details

## Contact Information

Danielle Aamodt

Rule Change Intent

After providing lower level Driving competitors a one-year grace period, this rule change proposal will mandate that all competitors pay the show pass fee or be Federation members in order to compete at Driving competitions. This will allow GR show-pass fees to apply to all combined driving divisions.

Linked Rules

Comments

**Web:** Advanced Level Combined Driving Competitions

Committee Actions

Council - Intl Discipline

**Draft 1:** Recommends Approval 12/20/2018

**Draft 1:** No Comments



**GR1128.3 Tracking #339-18 Draft #1 Approved**

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	9/6/2018	Approved 1/12/2019

**Proposed Change**

**GR1128 English Pleasure [CHAPTER SUBCHAPTER 11-F AWARD SECTIONS]**

GR1128 English Pleasure

3. Points **for all eligible classes** will be awarded **according to the following chart** as follows:

**a. Points for all eligible classes at Local competitions will be awarded 1/2 value.**

	First Place	Second Place	Third Place	Fourth Place	Fifth Place	Sixth Place
<b>A Rating</b>	36	28	26	24	22	20
<b>B Rating</b>	30	14	22	20	18	16
<b>C Rating</b>	26	20	18	16	14	12
<b>Local</b>	20	16	14	12	10	8

<b>Started</b>	<b>16+</b>	<b>15</b>	<b>14</b>	<b>13</b>	<b>12</b>	<b>11</b>	<b>10</b>	<b>9</b>	<b>8</b>	<b>7</b>	<b>6</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>1</b>
<b>1st</b>	20	19	18	17	16	15	14	13	12	11	10	9	8	4	2	1
<b>2nd</b>	17	16	15	14	13	12	11	10	9	8	7	6	5	3	1	
<b>3rd</b>	15	14	13	12	11	10	9	8	7	6	5	4	3	1		
<b>4th</b>	13	12	11	10	9	8	7	6	5	4	3	2	1			
<b>5th</b>	12	11	10	9	8	7	6	5	4	3	2	1				
<b>6th</b>	11	10	9	8	7	6	5	4	3	2	1					
<b>7th</b>	10	9	8	7	6	5	4	3	2	1						
<b>8th</b>	9	8	7	6	5	4	3	2	1							
<b>9th</b>	8	7	6	5	4	3	2	1								
<b>10th</b>	7	6	5	4	3	2	1									
<b>11th</b>	6	5	4	3	2	1										
<b>12th</b>	5	4	3	2	1											
<b>13th</b>	4	3	2	1												
<b>14th</b>	3	2	1													
<b>15th</b>	2	1														
<b>16th</b>	1															

<b>Proponent Details</b>	<b>Contact Information</b>
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Council - Natl Breed & Discipline

Chuck Walker

Rule Change Intent

The purpose of this rule change is to simplify the requirements for the English Pleasure division and make it more attractive to both exhibitors and competitions. By removing this chart it will not put a restriction on how many classes a competition may offer and simplify the tabulation for the year end awards. This rule is linked to 237-18.

Linked Rules	Comments
GR 307 (340-18)	<b>Web:</b> English pleasure points
Committee Actions	
American Saddlebred	
<b>Draft 1:</b> Recommends Approval 11/14/2018	<b>Draft 1: No Comments</b>
Andalusian/Lusitano	
<b>Draft 1:</b> Recommends Approval 10/29/2018	<b>Draft 1: No Comments</b>
Arabian	
<b>Draft 1:</b> Recommends Approval 10/30/2018	<b>Draft 1: No Comments</b>
Council - Natl Breed & Discipline	
<b>Draft 1:</b> Recommends Approval 12/18/2018	<b>Draft 1: No Comments</b>
Friesian	
<b>Draft 1:</b> Recommends Approval 10/25/2018	<b>Draft 1: No Comments</b>
Morgan	
<b>Draft 1:</b> Recommends Approval 10/25/2018	<b>Draft 1: No Comments</b>
National Show Horse	
<b>Draft 1:</b> Recommends Approval 11/02/2018	<b>Draft 1: No Comments</b>
Paso Fino	
<b>Draft 1:</b> Recommends Approval 10/30/2018	<b>Draft 1: No Comments</b>
Shetland	
<b>Draft 1:</b> Recommends Approval 10/23/2018	<b>Draft 1: No Comments</b>

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	9/6/2018	Approved 1/12/2019

**Proposed Change**

**GR312 Determining Ratings** [CHAPTER SUBCHAPTER 3-B COMPETITION CLASSIFICATION]

GR307 Classification

2. Divisions and sections of Regular Competitions are classified as follows for the purpose of reckoning points toward the Federation Annual Horse of the Year Awards. (Exception: Jumper Division, see GR1114):

- a. A, B, or C; or
- b. To include any of the following, individually or combined:
  1. Andalusian/Lusitano;
  2. Arabian;
  3. Friesian;
  4. Hackney;
  5. National Show Horse;
  6. Morgan;
  7. Roadster;
  8. American Saddlebred;
  9. Shetland-;
  - 10. English Pleasure**

Division or Section	"A" Rating Requirements		"B" Rating Requirements	
	minimum number classes	minimum prize money	minimum number classes	minimum prize money
English Pleasure				
Saddle Seat	2	0	1	0
Hunter Seat	2	0	1	0
Driving	2	0	1	0

Proponent Details	Contact Information
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**Council - Natl Breed & Discipline**

Chuck Walker  
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**Rule Change Intent**

There is currently confusion at Hunter Competitions due to the ratings in the English pleasure section not matching that of the Hunter classes. This is keeping shows from holding the classes in some cases. Eliminating the rating levels will alleviate confusion and may encourage shows to add these classes back or as new on their schedule. By removing the restrictions currently in place regarding competition rating, it is anticipated that more competitions will hold English Pleasure for Hunter classes for the benefit of competitors seeking year end award points. Additionally, this rule change will benefit breed restricted competition which want to hold these classes.

Linked Rules	Comments
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GR 1128 (339-18)

**Web:** determining ratings - English pleasure

**Committee Actions**

**American Saddlebred**

**Draft 1:** Recommends Approval 11/14/2018

**Draft 1: No Comments**

Andalusian/Lusitano

**Draft 1:** Recommends Approval 10/29/2018

**Draft 1:** No Comments

Arabian

**Draft 1:** Recommends Approval 10/30/2018

**Draft 1:** No Comments

Council - Natl Breed & Discipline

**Draft 1:** Recommends Approval 12/18/2018

**Draft 1:** No Comments

Friesian

**Draft 1:** Recommends Approval 10/25/2018

**Draft 1:** No Comments

Morgan

**Draft 1:** Recommends Approval 10/25/2018

**Draft 1:** No Comments

National Hunter Committee

**Draft 1:** Recommends Approval 10/22/2018

**Draft 1:** No Comments

National Show Horse

**Draft 1:** Recommends Approval 11/02/2018

**Draft 1:** No Comments

Paso Fino

**Draft 1:** Recommends Approval 10/30/2018

**Draft 1:** No Comments

Shetland

**Draft 1:** Recommends Approval 10/23/2018

**Draft 1:** No Comments

**GR136.2 Tracking #344-18 Draft #1 Approved**

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	4/1/2019	9/6/2018	Approved 1/12/2019

Proposed Change

**GR136 Maiden, Novice, and Limit Classes** [CHAPTER SUBCHAPTER 1-A DEFINITIONS]

GR136 Maiden, Novice, and Limit Classes

2. The Maiden, Novice, or Limit status of both riders and drivers is affected by winnings at Regular and Local Competitions.-(Exceptions:

a. Arabian)- **See AR110.8**

**b. American Saddlebred - The status of riders and drivers is affected by winnings at both Federation Licensed and non-licensed competitions.**

Proponent Details	Contact Information
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American Saddlebred

Chuck Walker

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Rule Change Intent

Currently, the status of riders and drivers competing the Saddlebred division is determined by placings at only USEF-licensed competitions. This rule will expand the way in which status is determined by allowing placings at non-USEF licensed competitions to also affect rider and driver status. This rule change will benefit competitors by providing a accurate group of competitors at each level.

Linked Rules	Comments
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**Web:** maiden, novice, and limit classes - American Saddlebred

Committee Actions

American Saddlebred

**Draft 1:** Recommends Approval 11/14/2018

**Draft 1: No Comments**

ASHA

**Draft 1:** No Action

**Draft 1: No Comments**

Council - Natl Breed & Discipline

**Draft 1:** Recommends Approval 10/31/2018

**Draft 1: No Comments**

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	12/1/2019	9/11/2018	Approved 1/12/2019

Proposed Change

**GR821 Opportunity Classes.** [CHAPTER CHAPTER 8 CONDUCT OF LICENSED COMPETITIONS]

2. Opportunity Classes:

a. do not count towards Horse of the Year Awards and the results from Opportunity Classes may not be used by any entity for a national awards program. Only with permission of the respective recognized affiliate organization may results from Opportunity Classes be used for regional awards.

b. cannot be used as a qualifying class for any championship class held at the competition except an Opportunity Class championship at the competition.

c. cannot be considered in reckoning Competition Championships awarded on points except an Opportunity Class championship at the competition.

d. do not count towards the minimum number of classes nor amount of prize money offered when determining the rating of the competition.

e. Dressage classes ~~can~~ **may** be offered as Opportunity classes at Dressage Competitions or Regular/Local Competitions with "Open" Dressage classes as described below:

1. Classes are limited to the following competition levels and dressage tests:

a. Level 1 competitions may offer two tests and only two classes per level per day at Introductory – Second Level one of which can be the Riders Test at Training - Second levels.

b. Level 2 competitions may offer two tests and only two classes per level per day at Introductory - First Level one of which can be the Riders Test at Training and First levels.

c. Level 3 competitions may offer two tests and only two classes per level per day at Introductory – Training Level one of which can be the Training Level Riders Test.

d. Level 4 and Level 5 competitions may not offer Opportunity classes.

***e. Level 1, 2, and 3 competitions may also offer two dressage seat equitation classes per day in addition to the tests, classes, and levels list above in Section 1.a – 1.c.***

Proponent Details	Contact Information
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Dressage

Hannah Niebielski

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Rule Change Intent

New exhibitors, particularly youth riders, who currently enter Opportunity classes often express their desire to enter equitation classes, but they do not possess the current memberships required to enter these classes. More new, young riders would be encouraged to enter dressage competitions if they could participate in equitation classes without the substantial additional fees.

Linked Rules	Comments
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**Web:** opportunity classes

Committee Actions

Council - Intl Discipline

**Draft 1:** Recommends Approval 12/20/2018

**Draft 1: No Comments**

USDF

**Draft 1:** No Action

**Draft 1: No Comments**

Rule Change Type	Effective Date	Draft Received	Board Action
Standard	4/1/2019	1/14/2019	Approved 1/12/2019

## Proposed Change

**GR609 Temporary Suspension** [CHAPTER 6-B Pre-Hearing Procedures]

## GR609 Temporary Suspension

- In connection with any protest, charge, or any other matter which may properly fall within the jurisdiction of the Hearing Committee, and upon a finding that considerations involving the health, safety or welfare of Federation members and/or their horses, or the best interests of horse showing generally, warrant prompt action pending consideration of the matter by the Hearing Committee, the CEO or his designee may, by giving written notice of such action, temporarily suspend any person from participating in any manner in the affairs of the Federation or participating in or attending all Licensed Competitions until the Hearing Committee can hear the protest, charge or other matter and take such further temporary or other disciplinary action as it deems appropriate under these Rules, including temporarily suspending any person from participating in any manner in the affairs of the Federation or participating in or attending all Licensed Competitions, until the Hearing Committee can hear or determine the protest, charge or other matter, provided, however, that in instances involving GR605 and GR611.2(i) or (ii) where the USOC Bylaws apply, a hearing by the Hearing Committee shall be held on notice before any suspension is imposed.
- In connection with all FEI Provisional Suspensions, all individuals serving a provisional suspension from the FEI are forbidden from participating in all Federation affairs and activities, to hold or exercise office in the Federation or in any Competition licensed or endorsed by the Federation, to attend, observe, or participate in any event, forum, meeting, program, clinic, task force, or committee of the Federation, sponsored by or conducted by the Federation, or held in connection with the Federation and any of its activities. The Federation may, in its sole discretion, grant a waiver to the above in exceptional circumstances.***
- All temporary suspensions from the U.S. Center for Safe Sport shall be imposed upon the listed individual only, unless otherwise noted.***

## GR615 Reciprocity

- On receipt of notice that USADA or WADA has taken disciplinary action and has applied penalties in accordance with FEI General Regulations against a person subject to Federation rules, with notice to the affected parties but without further proceedings, the Federation shall impose any sanction resulting from the adjudication process in accordance with USADA or WADA protocols, as applicable.
- Upon receipt of notice that a court of law has entered a judgment or final order against a person, corporation, partnership or other entity for monies owing to a Federation Senior Active or Life Member related to equestrian activities (e.g. training fees, coaching fees, stabling fees, horse board, horse transport, veterinary fees) and in connection with Licensed Competition, which order or judgment is final and not subject to further appeal, a National Officer or the CEO of the Federation or his designee may make a charge against such person or entity under the provisions of Chapter 6, and following a hearing, the Hearing Committee may suspend such person or entity pursuant to Chapter 7, GR703.1.b and .c and/or Chapter 7, GR707.1b but any such suspension shall not extend beyond the time that such judgment or order is satisfied of record in said court of law and may be for shorter period of time in the discretion of the Hearing Committee.
- On receipt of notice that the Federation Equestrian Internationale (FEI) has imposed penalties in accordance with FEI General Regulations against a person subject to Federation rules, with notice to the affected parties but without further proceedings, the Federation shall recognize and enforce the sanction. ***For provisional suspensions see GR609.2. All individuals serving a final suspension from the FEI are forbidden from participating in all Federation affairs and activities, to hold or exercise office in the Federation or in any Competition licensed or endorsed by the Federation, to attend, observe, or participate in any event, forum, meeting, program, clinic, task force, or committee of the Federation, sponsored by or conducted by the Federation, or held in connection with the Federation and any of its activities. The Federation may, in its sole discretion, grant a waiver to the above in exceptional circumstances.***
- On receipt of notice that the U.S. Center for Safe Sport has imposed penalties against any individual, without further proceedings or notice to the affected parties, the Federation shall recognize and enforce the sanction. For temporary suspensions see GR609.3. All final and non-appealable suspensions and bans shall be imposed upon the listed individual and all horses completely or in part owned by the listed individual.***

## GR706 Violations

- Any competition licensed or endorsed by the Federation is subject to penalty by the Hearing Committee or other individuals with authority to assess penalties for violation of the rules. Violations include, but are not limited to, the following. All penalties will be published on the Federation's web site.
  - Failure to conduct a competition in accordance with the Federation rules ***and applicable specifications.***

## Proponent Details

Hearing Committee

## Contact Information

Emily Pratt

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## Rule Change Intent

This rule change is intended to further clarify the parties against whom sanctions will be imposed in instances of temporary and final suspensions and bans from the FEI and the U.S. Center for SafeSport. Individuals who are suspended by the FEI, will not be permitted to participate at licensed competition in any manner, including as a spectator. For temporary suspensions imposed by the U.S. Center for SafeSport, sanctions will only be imposed on the individual listed. All final and non-appealable suspensions and bans will be imposed on the listed individual, all horses completely or in part owned, and businesses within which the listed individual appears to have an ownership interest.

### Linked Rules

### Comments

**Web:** provisional suspensions

## Committee Actions

### American Saddlebred

**Draft 1:** No Action

**Draft 2:** Recommends Disapproval 11/14/2018

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** Committee would like to have a procedure in place so there would be an appeals system for those believing they are falsely accused. Also concerned about civil rights issues because it would not allow accused to operate their business while on suspension.

**Draft 3:** No Comments

### Andalusian/Lusitano

**Draft 1:** No Action

**Draft 2:** Recommends Approval 10/29/2018

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** No Comments

**Draft 3:** No Comments

### Arabian

**Draft 1:** No Action

**Draft 2:** Recommends Approval 10/30/2018

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** No Comments

**Draft 3:** No Comments

### Breeds/Disciplines

**Draft 1:** No Action

**Draft 2:** No Action

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** No Comments

**Draft 3:** No Comments

### Carriage Pleasure Driving

**Draft 1:** No Action

**Draft 2:** Recommends Disapproval 11/12/2018

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** Approves concept but the rule needs clarification. Concerned that this would not allow people to continue their business while on suspension.

**Draft 3:** No Comments

### Connemara

**Draft 1:** No Action

**Draft 2:** Recommends Approval 11/08/2018

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** No Comments

**Draft 3:** No Comments

### Council - Intl Discipline

**Draft 1:** No Action

**Draft 2:** Recommends Disapproval 12/20/2018

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** Language is too vague and subject to varied interpretation.

**Draft 3:** No Comments

### Council - Natl Breed & Discipline

**Draft 1:** No Action

**Draft 2:** Recommends Disapproval 12/18/2018

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** Concern was raised by National committees about the language in the rule "appears to have ownership interest"; as well as concern about how horses would be transferred at the affiliate if a suspended person's horse is bought when the suspended person would be banned from doing business at the affiliate as well.

**Draft 3:** No Comments

### Dressage

**Draft 1:** No Action

**Draft 2:** Recommends Approval 11/29/2018

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** No Comments

**Draft 3:** No Comments

### Driving

**Draft 1:** No Action

**Draft 2:** No Action

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** No Comments

**Draft 3:** No Comments

### Endurance

**Draft 1:** No Action

**Draft 2:** No Action

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** No Comments

**Draft 3:** No Comments

### English Pleasure

**Draft 1:** No Action

**Draft 2:** No Action

**Draft 3:** No Action

**Draft 1:** No Comments

**Draft 2:** No Comments

**Draft 3:** No Comments



### Eventing

**Draft 1:** No Action  
**Draft 2:** Recommends Approval 11/13/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

### Friesian

**Draft 1:** No Action  
**Draft 2:** Recommends Approval 10/25/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

### Hackney

**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 10/31/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** Committee is concerned about the process and that USEF affiliates are asked to honor suspensions that would impact the accused of the ability to do business.  
**Draft 3:** No Comments

### Jumper

**Draft 1:** No Action  
**Draft 2:** Recommends Approval 11/13/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

### Morgan

**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 10/25/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** Concern was raised that USEF's records on horse ownership are not always the same as the breed registry; as well can a show then be penalized for allowing horses to compete that are currently ineligible but that information was not available at that time. Also stated was if the Affiliate is to uphold the ban, therefore suspending the ineligible person, how does the affiliate allow transfer of horse ownership when an affiliate membership is required to do so. The committee was concerned that the horse is being punished for a human's actions.  
**Draft 3:** No Comments

### National Hunter Committee

**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 12/17/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** The use of the word "appears" in GR615.5 is too ambiguous. Additionally, questions still exist with respect to how registered leases and shared businesses will be addressed.  
**Draft 3:** No Comments

### National Show Horse

**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 11/02/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** Concern was raised the horse should not be tied to an owner's ban since a horse should not be punished for its owner's actions.  
**Draft 3:** No Comments

### Paso Fino

**Draft 1:** No Action  
**Draft 2:** Recommends Approval 10/30/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

### Reining

**Draft 1:** No Action  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

### Roadster

**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 11/12/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** Committee believes this proposed rule change needs clarification. The committee thought there was an issue with restricting someone's livelihood if they are on permanent suspension.  
**Draft 3:** No Comments

### Saddle Seat Eq

**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 11/13/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** Committee believes that affiliates would be put in unreasonable position not allowing them to transfer horse because this rule would negate their ability to do business with someone who is suspended  
**Draft 3:** No Comments

### Shetland

**Draft 1:** No Action  
**Draft 2:** Recommends Approval 10/23/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

### US Saddle Seat World Cup

**Draft 1:** No Action  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

### USA Reining

**Draft 1:** No Action  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

USHJA

**Draft 1:** No Action  
**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 12/13/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 1:** No Comments  
**Draft 2:** o GR615.5 – The words “appears to have ownership interest” is too vague and subject to varied interpretation. There is a lack of clarity with respect to how registered leases will be treated. The language is too restrictive with respect to individuals in shared businesses.  
**Draft 3:** No Comments

Vaulting

**Draft 1:** No Action  
**Draft 2:** No Action  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Welsh

**Draft 1:** No Action  
**Draft 2:** Recommends Approval 11/08/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** No Comments  
**Draft 3:** No Comments

Western Committee

**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 11/06/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** The committee felt this proposed rule change was a matter for legal review and that it is outside the scope of the Western Discipline.  
**Draft 3:** No Comments

Western Dressage

**Draft 1:** No Action  
**Draft 2:** Recommends Disapproval 10/30/2018  
**Draft 3:** No Action

**Draft 1:** No Comments  
**Draft 2:** Concern was raised that the horse should not be punished for their owners actions, that this would be unnecessary work for the show secretary, and if the horse is not recorded with USEF then keeping track of them would be very difficult.  
**Draft 3:** No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Extraordinary	2/1/2019	11/15/2018	Approved 1/12/2019

Extraordinary Change Reason

Redundant process. This change will improve department efficiency.

Proposed Change

**GR1011 Apprentice Judge** [CHAPTER SUBCHAPTER 10-C CLASSIFICATIONS - JUDGES]

7. ~~Apprentice Judge Cards~~

a. ~~The following breeds/disciplines require an Apprentice Judge Card Application, including a processing fee, to be pre-approved by the Federation:~~

1. ~~— Andalusian/Lusitano~~
2. ~~— Arabian~~
3. ~~— Connemara~~
4. ~~— Friesian~~
5. ~~— Hackney~~
6. ~~— Morgan~~
7. ~~— National Show Horse~~
8. ~~— Paso Fino~~
9. ~~— Reining~~
10. ~~— Roadster~~
11. ~~— American Saddlebred~~
12. ~~— Saddle Seat Equitation~~
13. ~~— Shetland~~
14. ~~— Welsh~~
15. ~~— Western~~

b. ~~The fee is not refundable.~~

c. ~~The Apprentice Judge Card Application must be made on the official form provided by the Federation and must be accompanied by the required fee. An Apprentice Judge must obtain permissions from the Licensed Competition first followed by approval by the licensed official with whom he will be apprenticing.~~

d. ~~An approved application is limited to that Licensed Competition and must be submitted prior to the start date of the competition to receive credit.~~

8. ~~The remaining breeds/disciplines do not require an Apprentice Judge Card Application and simply require pre-approval from the Licensed Competition and the licensed official with whom the applicant intends to apprentice.~~

Proponent Details	Contact Information
Licensed Officials	Alina Brazzil abrazzil@usef.org

Rule Change Intent

Apprentice judge card applications are unnecessary and burdensome for the Licensed Official Department to process. The department does not utilize the information within the apprentice judge card applications because only apprentice evaluations are utilized for a licensed official application. Removal of this unnecessary application will reduce redundant paperwork and help the department become more efficient. In addition, apprentice judge card applications have slowed down the application process. Removal of the apprentice judge card application will allow applicants to organize their apprentice experiences without impediment from the Federation.

Linked Rules	Comments
	<b>Web:</b> Apprentice judge card application

Committee Actions	
Connemara	
<b>Draft 1:</b> Recommends Approval 12/13/2018	<b>Draft 1: No Comments</b>
Council - Admin & Finance	
<b>Draft 1:</b> Recommends Approval 12/18/2018	<b>Draft 1: No Comments</b>

Council - Intl Discipline

**Draft 1:** Recommends Approval 12/20/2018

**Draft 1:** No Comments

Council - Natl Breed & Discipline

**Draft 1:** Recommends Approval 12/18/2018

**Draft 1:** No Comments

National Hunter Committee

**Draft 1:** Recommends Approval 12/17/2018

**Draft 1:** No Comments

Welsh

**Draft 1:** No Action

**Draft 1:** No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Rule Clarification	2/1/2019	11/15/2018	Approved 1/12/2019

## Extraordinary Change Reason

Continuity between Federation policies

## Proposed Change

GR1002 Application [CHAPTER SUBCHAPTER 10-A LICENSED OFFICIALS COMMITTEE APPLICATION PROCESS]

## CHAPTER 10 LICENSED OFFICIALS

SUBCHAPTER 10-A LICENSED OFFICIALS COMMITTEE APPLICATION PROCESS

## GR1002 Applications

1. The ~~Committee~~**Federation** will carefully review all applications, solicit ~~confidential~~ evaluations from the appropriate Breed/Discipline Committee members and others as it may elect as provided in Chapter 10, Subchapter 10-I, ~~including names furnished by the applicant,~~ and consider returned questionnaires, competition reports, any letters received regarding the applicant and other relevant information submitted to the ~~Committee~~**Federation**. For all applicants, the ~~Committee~~**Federation** will request and give due consideration to recommendations received from Recognized National and FEI Affiliates before granting a new license or promotion. All such submissions shall be ~~strictly confidential, shall not~~ be subject to examination by the applicant, **upon request**, and shall be made available ~~only to Committee members and to staff. All applicants specifically waive their rights to examine such submissions. Following careful and thorough consideration of an application along with all materials received relating to it, the Committee may at its discretion deny a license for reasons above and beyond the minimum licensing requirements. Except in extraordinary circumstances, the Federation may not deny a license for reasons above and beyond the minimum licensing requirements.~~ In reviewing the application, the ~~Committee~~**Federation** will consider concerns regarding division knowledge, professionalism and decorum, skill development, experience, requisite skills or lack of support.
2. The ~~Committee~~**Federation** may in its discretion revoke a current license, place a license on probationary status, reduce a license by one level, temporarily suspend, or refuse to renew an official's license at its expiration following a hearing on at least ten days written notice to the official in question who shall have the right to appear, to be represented, and to bring witnesses. The ~~Committee~~**Federation** shall issue its written ruling specifying the substance of the ~~Committee's~~**Federation's** reasons for said decision ~~without breaching the confidentiality of any of the foregoing submissions.~~
3. The ~~Committee~~**Federation** at its discretion may reinstate an official who has allowed his/her license to lapse for one year or may require him/her to reapply.
4. All applications whether for initial enrollment, renewal, promotion, or for change of status or recommendation to the FEI shall contain a statement to be signed by the applicant, indicating that the applicant ~~waives his/her right to review confidential submissions and the file, and~~ has read and understands the rules governing the application process, and agrees to be bound thereby.

## GR1003 Review

1. Any person whose application for enrollment, renewal, promotion, or change of status or for recommendation to the FEI has been denied or whose license has been revoked, placed on probationary status, reduced by one level or temporarily suspended may request a review by the Licensed Officials Committee to reconsider the decision. The request must be in writing and mailed to the Licensed Officials Committee within 30 days from receipt of the written ruling of the ~~Committee~~**Federation** sought to be reconsidered and accompanied by a check for \$300 payable to the Federation, which is non-refundable.
2. ~~The review shall be after ten (10) days written notice to all parties concerned. A written notice to all parties will be issued within ten days of the request for review.~~ The notice shall contain a brief statement of the facts ~~supporting~~**regarding** the position of the Licensed Officials Committee and shall specify the time and place at which the review is to be held. The person requesting the review may attend and bring witnesses, sworn statements, or other evidence on his/her behalf. Upon written request of a representative of the Licensed Officials Committee or the person requesting the review, there shall be furnished before the Committee any evidence to be introduced, the names of the witnesses and the substances of their testimony; ~~however, all confidential submissions received by the Committee shall be maintained as confidential and shall not be provided to the applicant.~~
3. Following an adverse decision by the Licensed Officials Committee with regard to a non-renewal or revocation only, an applicant may request the Hearing Committee to review the decision or to consider a hearing *de novo*, provided such ~~application a request is made~~**submitted** in writing within 20 days of receipt of the Licensed Officials Committee decision, and ~~provided~~**accompanied by** a nonrefundable fee of \$50 payable to the Federation ~~accompanies the application.~~The Hearing Committee may review the decision, or in its discretion, hold a new hearing. In either case, both the applicant and the Licensed Officials Committee shall be parties to the proceeding and shall have the right to make written submissions, be represented by counsel, and in the event of a hearing, to appear in person and present or cross-examine witnesses.

## GR101405 Apprentice Judge

1. An Apprentice Judge shall be an ~~Senior~~**Active** Member who is at least 21 years of age. Information on apprenticing procedure for each license is located in the ~~respective requirements documents provided by the Federation on the Federation website.~~ An Apprentice Judge shall request approval prior to apprenticing from the Licensed Competition first followed by approval from the licensed official with whom he will be apprenticing.
2. Apprenticing restrictions at competitions:

- a. Apprenticing is limited to one Apprentice per ring **arena**. Exception: Dressage, Western Dressage, and Eventing.
  - b. Licensed officials are limited to one Apprentice at any one time. Exception: Dressage and Western Dressage Group Apprenticing, Eventing Cross-Country and Jumping Tests.
3. Apprenticing ~~judging~~-in Opportunity or Exhibition classes does not count toward licensing requirements. Exception: Dressage Opportunity classes.
4. The Licensed Competition may request additional specific information about an individual's experience before accepting him as an Apprentice.
5. There is no limit to the number of times a person may apprentice. When apprenticing ~~as a judge~~, an Apprentice should use a scorecard to evaluate the various entries. Apprentices work under the direction of the Licensed Official with whom they are apprenticing, ~~but~~ **and** do not possess any authority.
6. In order for any apprenticeship experience to count towards an application, the respective Apprentice Evaluation Form must be submitted to the Licensed Officials Department directly from the **applicant or** licensed official with whom the Apprentice worked.

[...]

9. Conflict of Interest

- a. An individual shall not apprentice in a licensed competition where a family member, cohabitant, companion, domestic partner, housemate, member of the 's household, client employer or employee is competing. Any violation of this rule will lead to the apprenticing experience not counting towards licensing requirements. Apprenticing experience completed in violation of this rule will be considered an extra apprentice experience.
- b. An individual cannot compete in the same competition at which they apprentice.

[renumber accordingly and move Apprentice section to under Subchapter 10-B General Rules and Fees]

SUBCHAPTER 10-D CLASSIFICATIONS - STEWARDS

**GR1012 General**

- 1. Licensed stewards are licensed by the ~~Licensed Officials Committee~~**Federation** as Registered, Recorded, and Special, in either one or both of the following Categories.

[...]

**GR1013 Registered Steward**

- 1. A Registered ('R') Category 1 or Category 2 steward is an individual so classified by the ~~Licensed Officials Committee~~**Federation**.
- 2. The Registered Category 1 or Category 2 steward is entitled to officiate alone unless the competition requires more than one steward.

**GR1014 Recorded Steward**

- 1. A Recorded ('r') Category 1 or Category 2 steward is an individual so classified by the ~~Licensed Officials Committee~~**Federation**.
- 2. A Recorded Category 1 steward may not officiate alone in any National, Premier or Special Event or in a Jumper division at competitions above Jumper Level 2 rating. A minimum of one Registered 'R' C1 Steward must officiate.
- 3. If a Licensed Competition requests in writing that the Federation grant a Special Steward's card for that particular competition and provided the Federation approves the issuance of such card, a Recorded Category 1 steward may officiate alone in a National competition. (See Special Steward Rules in Chapter 10).
- 4. A Recorded Category 2 steward may officiate alone in any of the specialized Divisions and Sections covered by Category 2 stewards as outlined in Subchapter 10-D-~~General Rules~~**Classifications - Stewards**. Exception: Regional and National Arabian, Morgan, Andalusian/Lusitano and Friesian competitions and National Show Horse Finals must have a minimum of one Registered 'R' Stewards officiating the entire event.

**GR1015 Special Steward**

- 1. A Special Category 1 steward is an individual already enrolled as a Recorded 'r' Category 1 steward, to whom the Licensed Officials Department has granted permission to officiate as a Registered 'R' steward at a National competition.
  - a. Such permission, which is not transferable, shall be granted upon request of a particular Licensed Competition for that competition only.
  - b. The application must be made on the official form provided and be accompanied by the required fee. The statement on the form that the competition holds itself responsible that the individual applied for is familiar with the Federation rules and is capable to officiate as requested, must be signed by the competition manager.
  - c. Special cards will not be issued to anyone whose applications for re-enrollment, promotion or change of status ~~has~~ have been denied by the ~~Licensed Officials Committee~~**Federation** for the respective license applied for.
  - d. Authority to act as a Special Steward will not be granted to any person more than twice in a lifetime, in a particular division without the approval of the Licensed Officials Committee ~~Chairmen~~ **Chairman** or ~~their~~**his** designee, and any two members of the Licensed Officials Committee.

[...]

SUBCHAPTER 10-E CLASSIFICATIONS – TECHNICAL DELEGATES

**GR1016 General**

1. Licensed technical delegates are licensed by the ~~Licensed Officials Committee~~ **Federation** as Registered or recorded in Dressage, Eventing, Vaulting, Carriage Pleasure Driving, and Combined Driving. **Eventing Technical Delegates may also be licensed as Senior.**

2. Only licensed technical delegates in good standing may officiate at Licensed Eventing, **Combined** Driving or Dressage Competitions.

**GR1019 Driving Technical Delegates**

1. ~~Combined Driving Technical Delegate~~—A Combined Driving Technical Delegate is an individual licensed by the ~~Licensed Officials Committee~~ **Federation**, and is entitled to officiate alone in Combined Driving Events. See DC971.1988.2.3.3 and DC 987.1.5.3 for information on Guest Cards for Combined Driving Technical Delegates.

2. ~~Carriage Pleasure Driving Technical Delegate~~—A Carriage Pleasure Driving Technical Delegate is an individual so classified by the ~~Committee~~ **Federation**, **and is entitled to officiate alone in Carriage Pleasure Driving Competitions. See CP201.2 for information in Guest Cards for Carriage Pleasure Driving Technical Delegates.**

SUBCHAPTER 10-G CLASSIFICATIONS – OTHER

**GR1029 Course Advisor**

1. An individual appointed as a **Cross Country** Course Advisor ~~pursuant~~ **pursuant** to EV174 shall be a Licensed Official of the Federation. He/she shall be so designated by the ~~Licensed Officials Committee~~ upon notification by the President or CEO or his designee of his/her appointment.

2. There will be no annual fee for the license, and an official's card shall be issued to the Course Advisor, who is eligible for all benefits afforded to other Licensed Officials by the Federation.

**GR1031 Para-Equestrian Classifier**

A Para-Equestrian Classifier is an individual licensed by the ~~Licensed Officials Committee~~ **Federation**, who may work alone at any level of national Para-Equestrian competition or at a Federation Center of Excellence.

**GR1034 Stewards and Technical Delegates**

2. Measurement: Measuring all animals required to be measured as provided for in Chapter 5 of the General Rules, Measurement of Entries, Chapter DR (DR135 Pony Measurement), Chapter DC (DC ~~91530~~ Height) and Subchapter ~~HU~~ **HU**-6 Measurement (~~HU135-HU147~~), and if necessary return Measurement cards to the Federation.

**DR126 Requirements for Dressage Competition Management**

1.e.4. It is strongly recommended that scribes not compete before judges with whom they have worked at the same competition. Apprentices ~~judges~~ **judges** are prohibited from competing before judges with whom they apprentice at the same competition.

**DR210 Judging Specifications**

5. Championship classes. More than one judge may officiate. The judge(s) will re-evaluate the entries, with or without awarding scores. All horses must be re-evaluated in the same manner, and all horses must be shown at the walk and trot (and canter, in applicable classes). In championship classes that are qualifying for the USDF Breeders Championship Series Finals, if all judge(s) for these championship classes have not judged all horses in their individual in-hand classes, the judge(s) must re-evaluate conformation in that championship class. If the number of entries is sufficient, a champion, reserve champion and third place reserve must be named in qualifying classes for the USDF Breeders Championships series. In USDF Breeders Championship classes, refer to USDFBC guidelines. Horses in USDF Breeders Championship classes must be shown individually on the triangle at the walk and trot, and standing for conformation. Each horse must be evaluated in the same manner and callbacks are permitted. Apprentic**inge** ~~judging~~ **judging** is prohibited in USDF Breeders Championship Series Finals classes.

Proponent Details	Contact Information
Licensed Officials	Alina Brazzil abrazzil@usef.org

**Rule Change Intent**

A new Licensed Official Committee Charter, Guidelines for Licensed Officials Education and Licensing, and US Equestrian Licensed Official Policies and Procedures have been approved and implemented by the Federation. Chapter 10 must align with the three documents in order to provide continuity.

Committee Actions

Council - Intl Discipline

**Draft 1:** Recommends Approval 12/20/2018

**Draft 1: No Comments**

Council - Natl Breed & Discipline

**Draft 1:** Recommends Approval 12/18/2018

**Draft 1: No Comments**



Rule Change Type	Effective Date	Draft Received	Board Action
Rule Clarification	2/1/2019	11/15/2018	Approved 1/12/2019

Proposed Change

**GR1039 Conflicts of Interest and Restrictions - Stewards and Technical Delegates (See also GR107 and GR1304)** [CHAPTER SUBCHAPTER 10-H REGULATIONS GOVERNING OFFICIALS]

6. Stewards and technical delegates are not to be used as a ringmaster, announcer, timer or Judge, or FEI Official, or in any other volunteer or paid position not related to their proper duties at Licensed Competitions where they are officiating with the following exceptions:
- a. At a competition where more than one steward or technical delegate is officiating, and after a Steward or Technical Delegate has entirely completed his/her duties at that Licensed Competition, he/she may serve in another capacity; including as a judge in the Jumper Division.
  - b. C2 Stewards who are also licensed as Dressage Technical Delegates may serve in both roles at Licensed Breed Competitions offering 'breed restricted' Dressage classes at Prix St. Georges or higher.
  - c. Eventing, ~~and Driving, and Vaulting~~ Technical Delegates holding Federation and FEI Technical Delegate licensure may serve in both roles ~~as Eventing, Driving, and Vaulting Technical Delegates~~ at dually licensed FEI/Federation Eventing, ~~and Driving, and Vaulting~~ Competitions.
  - d. Federation Vaulting Technical Delegates and FEI Vaulting Stewards holding both licenses may serve in both roles at dually licensed FEI/Federation Vaulting Competitions.**

Proponent Details	Contact Information
Licensed Officials	Alina Brazzil abrazzil@usef.org

Rule Change Intent

FEI Vaulting TD and Federation Vaulting TD licenses are not equivalent. FEI Vaulting Stewards and Federation Vaulting Technical Delegates have similar duties and therefore, FEI Vaulting Stewards and Federation Vaulting Technical Delegates may serve in both roles at dually licensed FEI/Federation Vaulting competitions.

Linked Rules	Comments
	<b>Web:</b> conflict of interest

Committee Actions

Council - Intl Discipline
<b>Draft 1:</b> Recommends Approval 12/20/2018
<b>Draft 1:</b> No Comments

Rule Change Type	Effective Date	Draft Received	Board Action
Extraordinary	2/1/2019	12/5/2018	Approved 1/12/2019

Extraordinary Change Reason

Safe Sport Compliance

Proposed Change

GR1004 General [CHAPTER SUBCHAPTER 10-B GENERAL RULES AND FEES]

**GR1004 General**

1. With the exception of those who have been issued Guest and/or Special Cards (see GR1009, GR1010, GR1015, GR1024, and GR1025), only licensed officials in good standing may officiate at Licensed Competitions in those divisions covered by the rules and specifications of the current Rule Book.  
 a. Unless stated otherwise, judges in the following classes **for Exhibition classes for Breed, hunter, jumper, or Western** are not required to be licensed by the Federation nor is the competition required to obtain a guest card for the judge.:

1. ~~Exhibition classes for Breed, hunter, jumper or Western.~~
2. ~~Opportunity Classes, with the exception of:~~
  - a. ~~Dressage which must be judged by licensed Dressage judges.~~
  - b. ~~Western Dressage Division must be judged by Western Dressage judges. Exception: Federation breed licensed judges are also eligible to officiate opportunity classes in Western Dressage Suitability, Western Dressage Hack and Western Dressage Seat Equitation classes.~~
3. ~~Academy Classes, with the exception of Dressage which must be judged by licensed Dressage judges~~

Proponent Details	Contact Information
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Licensed Officials

Alina Brazzil  
 abrazzil@usef.org

Rule Change Intent

To ensure the safety of US Equestrian members and competition managers, and to be compliant with the Protecting Young Victims from Sexual Abuse and Safe Sport Authorization Act of 2017, individuals who officiate for Opportunity and Academy classes at Federation licensed and endorsed competitions must apply for a guest card if they are not already a Federation licensed official. Individuals who apply for guest cards are required to be compliant with the Federation's Safe Sport Policy.

Linked Rules	Comments
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Web: Guest Card

Committee Actions

Connemara

Draft 1: Recommends Disapproval 12/07/2018  
 Draft 2: Recommends Approval 12/13/2018

Draft 1: **The current language is not clear that this is only for exhibition classes of the listed breeds/disciplines. It makes the rule read as if it no breed, hunter or jumper class requires a licensed judge. Would approve of this rule if language was clarified.**  
 Draft 2: **No Comments**

Council - Admin & Finance

Draft 1: No Action  
 Draft 2: Recommends Approval 12/18/2018

Draft 1: **No Comments**  
 Draft 2: **No Comments**

Council - Intl Discipline

Draft 1: No Action  
 Draft 2: Recommends Approval 12/20/2018

Draft 1: **No Comments**  
 Draft 2: **No Comments**

Council - Natl Breed & Discipline

Draft 2: Recommends Approval 12/18/2018

Draft 2: **No Comments**

National Hunter Committee

Draft 2: Recommends Approval 12/17/2018

Draft 2: **This change will be good for our sport but we would also be ok if senior active members were allowed to officiate in these classes as they will have met the Safe Sport requirements.**

Welsh

Draft 1: Recommends Disapproval 12/07/2018  
 Draft 2: No Action

Draft 1: **Current language is not clear that it applies only to exhibition classes.**  
 Draft 2: **No Comments**

Rule Change Type	Effective Date	Draft Received	Board Action
Rule Clarification	4/1/2019	11/15/2018	Approved 1/12/2019

Proposed Change

GR1142 Welsh Pony, Cob, and Half Welsh [CHAPTER 11- F Awards Section]

2. Half/Part-Bred Welsh Awards are offered for the following at the Regional and National level:

a. Half/Part-Bred Welsh **English** Pleasure

Proponent Details	Contact Information
Welsh	Natalie Norwood nnorwood@usef.org

Rule Change Intent

When creating the #332-17 rule change for the 2019 competition year, the word "English" was inadvertently left out of point 2a "Half/Part-Bred Welsh Pleasure". This is to clarify that this award is English specific, while Western Pleasure is incorporated into the newly created overall Western Pleasure Award.

Linked Rules	Comments
	<b>Web:</b> welsh English pleasure

Committee Actions
Council - Natl Breed & Discipline
<b>Draft 1:</b> Recommends Approval 12/18/2018 <b>Draft 1: No Comments</b>